

FINANCIAL SERVICES BOARD



FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002 ("FAIS ACT")

FAIS CIRCULAR 6 /2012

DATE: 11 May 2012

Circular on the conference to be hosted by the FAIS Supervision Department during 2012

The Office of the Registrar of Financial Services Providers is pleased to host two conferences for industry participants during 2012. Please see the details below, in date order of activity.

Please remember that attendance of our conferences is **free**. You are however responsible for your own travel and accommodation costs, should that be necessary. Bookings will open at **09:00 on Monday 21 May 2012**. Early bookings will not be accepted.

The conference options:

1. The face-to-face conference will be held on 25 July 2012 at Old Mutual, Pinelands, Cape Town.
2. The satellite conference will be held on 25 October 2012. Please see the list of venues later in the programme.

Bookings methods:

1. Access the website and book on our electronic booking system – www.fsb.co.za
2. Contact the FSB call centre and ask them to book for you

You can contact the call centre on 0800110443/ 0800202087, Monday to Friday between 08:00 to 17:00.

More information

More information is available on the FSB website. Please select the FAIS link, and then look for the link for "conference".

We look forward to hosting you at our conference.

REGISTRAR FINANCIAL SERVICES PROVIDERS

Conference programme: 25 July 2012

Venue: Old Mutual, Pinelands, Cape Town

| Topic | Speakers | Timeslot |
|--|--|---------------|
| Registration | | 07:30 – 08:20 |
| Opening and house rules | Lyndwill Clarke - FSB | 08:30 – 08:35 |
| The changing regulatory landscape | Gerry Anderson - FSB | 08:35 – 08:50 |
| Panel discussion: Fraud and money laundering in the industry | Chair: James Molefe/ Wendy Hattingh – FSB Panelists: Christopher Malan – Financial Intelligence Centre Hans Gildenhuys – Silica Hugo van Zyl: South African Insurance Crime Bureau Jacques Marnewicke – Sanlam Limited | 08:50 – 09:50 |
| Micro Insurance & FAIS | Charene Nortier - FSB | 09:50 – 10:20 |
| Tea | | 10:20 – 10:40 |
| Panel Discussion: Risk management – how valuable is it for the financial services industry | Panel Chair Wendy Hattingh, FSB Panelists: Paul Mathias – ABSA Africa Prof Jackie Young – UNISA | 10:40 – 11:40 |
| Update on RE's | Stefanie Mackenzie - FSB | 11:40 – 12:10 |
| Updates and Changes to FAIS Act & subordinate legislation | Loraine van Deventer - FSB | 12:10 – 12:40 |
| Update on TCF | Leanne Jackson - FSB | 12:40 – 13:10 |
| Lunch | | 13:10 – 14:00 |
| Update on enforcement actions | Matome Thulare - FSB | 14:00 – 14:30 |
| Update on compliance actions | Manasse Malimabe - FSB | 14:30 – 15:00 |
| Panel discussion: CPD: what can this offer to the industry | Chair: Charene Nortier - FSB Panelists: Brett Godfrey – SAICA Charmaine Koch – IISA Godfrey Nti: FPI Justus van Pletzen – FIA | 15:00 – 16:00 |
| Conference close | | |

Satellite Conference 2012: 25 October 2012

Venues: please see list on next page

| Topic | Speakers | Timeslot |
|--|---|---------------|
| Opening and house rules | Charene Nortier - FSB | 08:30 – 08:35 |
| Panel discussion: Insurance: fraud and money laundering | Panel Chair: James Molefe/ Wendy Hattingh – FSB Panelists: Christopher Malan – FIC Danie du Plessis – University of Pretoria Hans Gildenhuys – Silica Hugo van Zyl: South African Insurance Crime Bureau Jacques Marnewicke – Sanlam Limited | 08:50 – 09:50 |
| Micro Insurance & FAIS | Charene Nortier - FSB | 09:55 – 10:25 |
| Tea | | 10:25 – 10:40 |
| Risk management – how valuable is it for the financial services industry | Wendy Hattingh, FSB Prof Jackie Young - UNISA | 10:40 – 11:40 |
| Update on RE's | Stefanie Mackenzie- FSB | 11:45 – 12:15 |
| Updates and Changes to FAIS Act & subordinate legislation | Loraine van Deventer - FSB | 12:15 – 12:45 |
| Update on TCF | Leanne Jackson - FSB | 12:45 – 13:15 |
| Lunch | | 13:15 – 13:45 |
| Update on enforcement actions | Matome Thulare - FSB | 13:45 – 14:15 |
| Update on compliance actions | Manasse Malimabe - FSB | 14:20 – 14:50 |
| Panel discussion: CPD: what can this offer to the industry | Panel Chair: Charene Nortier - FSB Panelists: Brett Godfrey - SAICA Charmaine Koch – IISA Godfrey Nti: FPI Justus van Pletzen/ Joe Kotze – FIA | 14:55 – 15:50 |
| Close | | |

Satellite Venues 2012

| Venue Address | City | Venue Manager | Number of Seats | Region |
|---|----------------|--|-----------------|----------------------------------|
| 13 Amaway Centre Main Road, Ground floor (Opposite Caltex Garage) Amalinda | East London | Lionel Mc Gillivray 079 699 0126 | 22 | Eastern Cape |
| NG Church PE-East Cnr Mitchell and Walmer Boulevard (Parking Entrance: 42 Mitchell Street) South-End Port Elizabeth | Port Elizabeth | Nelia Peters 079 699 0130 | 25 | Eastern Cape |
| Medovs Building (The Avalon Group) Boardroom Cnr Victoria and Harris Street Willows Bloemfontein 9300 | Bloemfontein | William Britz 079 699 0077 | 20 | Free State & Northern Cape |
| The Bright Water Commons Republic Street Shop 99 Randburg | Randburg | Thapelo Mogale 079 699 0172 | 30 | Gauteng |
| Brooklyn Theatre Cnr Thomas Edison and 13th Avenue Greenlyn Village Menlopark | Brooklyn | Mahupa Lesufi 079 699 0134 | 30 | Pretoria |
| Embury Institute for Teacher Education Embury House 119 Lilian Ngoyi Road Ground Floor Morningside Durban 4000 | Durban | Martin Snyman 079 699 0088 | 20 | Kwazulu Natal |
| Telematic Learning Centre 33 Samora Machel Drive Glenrand Building 2nd Floor Nelspruit 1200 (Drive form Absa Square to Shoprite in Samora Machel Drive. Building opposite Shoprite entrance. Entrance | Nelspruit | Ella Steyl 079 699 0121 | 25 | Mpumalanga |

| Venue Address | City | Venue Manager | Number of Seats | Region |
|---|---------------------|--|------------------------|------------------|
| next to Hoofweg Butchery.) | | | | |
| Town Square Building C/o Church & Bhimy Damane Street, Room 21 Middelburg | Middelburg | Hennie Schoeman 079 699 0118 | 20 | Mpumalanga |
| West End Building 51 Leask Street C/o Leask Str + Kock + Siddle (Enter Leask or Siddle Str or day parking at Siddle Str) Room 308, 3rd Floor Klerksdorp | Klerksdorp | Eileen Mans 079 699 0114 | 30 | North West |
| Bongiwe Conference Centre 77 Dorp Street Polokwane | Polokwane | Emmanuel Greyling 079 699 0142 | 25 | Limpopo |
| Jones Street Square Telematic Learning Centre Corner of Chapel & Curry Street Kimberley | Kimberley | Doreen (Liz) Kwon Hoo 079 699 0145 079 699 0144 | 25 | Northern Cape |
| Roman Catholic Church Vaal University of Technology Le Roux Street Upington | Upington | Wendell Adams 079 699 0167 079 699 0168 | 21 | Northern Cape |
| Stellenbosch University Bellville Park Campus Carl Cronje Drive Van Der Horst Building Bellville Park Campus Room 102 Bellville | Bellville | Fred Rheeder 079 699 0063 | 85 | Western Cape |
| Stellenbosch University Tygerberg Campus Fransie van Zijl Rd Fisan building Room F334 | Tygerberg Kampus | Ronel Buchner Given Nkuna 079 699 0174 | 42 | Western Cape |
| Shamrock Place 97 York Street Ground Floor Room 34 A George | George | Lappies Labuschagne 079 699 0102 | 30 | Western Cape |
| Administration A Building Telematic Services | Stellenbosch | Douglas Davis 079 699 0146 | 20 | Western Cape |

| Venue Address | City | Venue Manager | Number of Seats | Region |
|--|-----------|---|-----------------|-----------------|
| Van Ryneveld Street, Stellenbosch Entrance left of Admin A main entrance Room 1070 University of Stellenbosch Stellenbosch | | | | |
| Institute for the Deaf 30 De La Bat Road Ou Meule Worcester | Worcester | Amanda van den Bergh 079 699 0170 | 36 | Western Cape |