FINANCIAL SERVICES BOARD



FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002 ("FAIS ACT")

FAIS CIRCULAR 6 /2012

DATE: 11 May 2012

Circular on the conference to be hosted by the FAIS Supervision Department during 2012

The Office of the Registrar of Financial Services Providers is pleased to host two conferences for industry participants during 2012. Please see the details below, in date order of activity.

Please remember that attendance of our conferences is **free**. You are however responsible for your own travel and accommodation costs, should that be necessary. Bookings will open at **09:00 on Monday 21 May 2012**. Early bookings will not be accepted.

The conference options:

- 1. The face-to-face conference will be held on 25 July 2012 at Old Mutual, Pinelands, Cape Town.
- 2. The satellite conference will be held on 25 October 2012. Please see the list of venues later in the programme.

Bookings methods:

- Access the website and book on our electronic booking system www.fsb.co.za
- 2. Contact the FSB call centre and ask them to book for you

You can contact the call centre on 0800110443/ 0800202087, Monday to Friday between 08:00 to 17:00.

More information

More information is available on the FSB website. Please select the FAIS link, and then look for the link for "conference".

We look forward to hosting you at our conference.

REGISTRAR FINANCIAL SERVICES PROVIDERS

Conference programme: 25 July 2012

Venue: Old Mutual, Pinelands, Cape Town

Topic	Speakers	Timeslot
Registration		07:30 - 08:20
Opening and house	Lyndwill Clarke - FSB	08:30 - 08:35
rules		
The changing	Gerry Anderson - FSB	08:35 – 08:50
regulatory landscape		
Panel discussion:	Chair:	08:50 – 09:50
Fraud and money	James Molefe/ Wendy Hattingh – FSB	
laundering in the	Panelists:	
industry	Christopher Malan – Financial Intelligence Centre	
	Hans Gildenhuys – Silica	
	Hugo van Zyl: South African Insurance Crime	
	Bureau	
	Jacques Marnewicke – Sanlam Limited	
Micro Insurance & FAIS	Charene Nortier - FSB	09:50 – 10:20
	Tea	10:20 - 10:40
Panel Discussion:	Panel Chair	10:40 - 11:40
Risk management –	Wendy Hattingh, FSB	
how valuable is it for	Panelists:	
the financial services	Paul Mathias – ABSA Africa	
industry	Prof Jackie Young – UNISA	
Update on RE's	Stefanie Mackenzie - FSB	11:40 - 12:10
Updates and Changes	Loraine van Deventer - FSB	12:10 - 12:40
to FAIS Act &		
subordinate legislation		
Update on TCF	Leanne Jackson - FSB	12:40 – 13:10
	Lunch	13:10 – 14:00
Update on	Matome Thulare - FSB	14:00 – 14:30
enforcement actions		
Update on compliance	Manasse Malimabe - FSB	14:30 - 15:00
actions		
Panel discussion:	Chair:	15:00 – 16:00
CPD: what can this	Charene Nortier - FSB	
offer to the industry	Panelists:	
	Brett Godfrey – SAICA	
	Charmaine Koch – IISA	
	Godfrey Nti: FPI	
	Justus van Pletzen – FIA	
	Conference close	

Satellite Conference 2012: 25 October 2012

Venues: please see list on next page

Opening and house rules Charene Nortier - FSB 08:30 – 08:35 Panel discussion: Insurance: fraud and money laundering Panel Chair: James Molefe/ Wendy Hattingh – FSB 08:50 – 09:50 Panelists: Christopher Malan – FIC Danie du Plessis – University of Pretoria Hans Gildenhuys – Silica Hugo van Zyl: South African Insurance Crime Bureau Jacques Marnewicke – Sanlam Limited 09:55 – 10:25 Tea 10:25 – 10:40 Risk management – how valuable is it for the financial services industry Wendy Hattingh, FSB Prof Jackie Young - UNISA 10:40 – 11:40 Update on RE's Stefanie Mackenzie- FSB 11:45 – 12:15 Updates and Changes to FAIS Act & subordinate legislation Loraine van Deventer - FSB 12:15 – 12:45 Update on TCF Leanne Jackson - FSB 12:45 – 13:15 Update on enforcement actions Manasse Malimabe - FSB 13:45 – 14:15 Update on compliance actions Manasse Malimabe - FSB 14:20 – 14:50 Panel discussion: CPD: what can this offer to the industry Panel Chair: Charene Nortier - FSB 14:55 – 15:50 Charene Nortier - FSB Panellists: Brett Godfrey - SAICA Charmaine Koch – IISA Godfrey Nti: FPI Justus van Pletzen/ Joe Kotze – FIA 14:55 – 15:50	Topic	Speakers	Timeslot
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laundering Panelists: Christopher Malan – FIC Danie du Plessis – University of Pretoria Hans Gildenhuys – Silica Hugo van Zyl: South African Insurance Crime Bureau Jacques Marnewicke – Sanlam Limited Micro Insurance & FAIS Charene Nortier - FSB 09:55 – 10:25 Tea 10:25 – 10:40 Risk management – how valuable is it for the financial services industry Update on RE's Stefanie Mackenzie- FSB 10:40 – 11:40 Updates and Changes to FAIS Act & subordinate legislation Update on TCF Leanne Jackson - FSB 12:15 – 12:45 Update on enforcement actions Update on enforcement actions Panel discussion: CPD: what can this offer to the industry Panel discussion: CPD: what can this offer to the industry Braid and Charmaine Koch – IISA Godfrey Nti: FPI Justus van Pletzen/Joe Kotze – FIA	Panel discussion:	Panel Chair:	08:50 - 09:50
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Godfrey Nti: FPI Justus van Pletzen/ Joe Kotze – FIA		1	
Justus van Pletzen/ Joe Kotze – FIA			
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Satellite Venues 2012

Venue Address	City	Venue Manager	Number of Seats	Region
13 Amaway Centre Main Road, Ground floor (Opposite Caltex Garage) Amalinda	East London	Lionel Mc Gillivray 079 699 0126	22	Eastern Cape
NG Church PE-East Cnr Mitchell and Walmer Boulevard (Parking Entrance: 42 Mitchell Street) South-End Port Elizabeth	Port Elizabeth	Nelia Peters 079 699 0130	25	Eastern Cape
Medovs Building (The Avalon Group) Boardroom Cnr Victoria and Harris Street Willows Bloemfontein 9300	Bloemfontein	William Britz 079 699 0077	20	Free State & Northern Cape
The Bright Water Commons Republic Street Shop 99 Randburg	Randburg	Thapelo Mogale 079 699 0172	30	Gauteng
Brooklyn Theatre Cnr Thomas Edison and 13th Avenue Greenlyn Village Menlopark	Brooklyn	Mahupa Lesufi 079 699 0134	30	Pretoria
Embury Institute for Teacher Education Embury House 119 Lilian Ngoyi Road Ground Floor Morningside Durban 4000	Durban	Martin Snyman 079 699 0088	20	Kwazulu Natal
Telematic Learning Centre 33 Samora Machelle Drive Glenrand Building 2nd Floor Nelspruit 1200 (Drive form Absa Square to Shoprite in Samora Machelle Drive. Building opposite Shoprite entrance. Entrance	Nelspruit	Ella Steyl 079 699 0121	25	Mpumalanga

Venue Address	City	Venue Manager	Number of Seats	Region
next to Hoofweg Butchery.)				
Town Square Building C/o Church & Bhimy Damane Street, Room 21 Middelburg	Middelburg	Hennie Schoeman 079 699 0118	20	Mpumalanga
West End Building 51 Leask Street C/o Leask Str + Kock + Siddle (Enter Leask or Siddle Str or day parking at Siddle Str) Room 308, 3rd Floor Klerksdorp	Klerksdorp	Eileen Mans 079 699 0114	30	North West
Bongiwe Conference Centre 77 Dorp Street Polokwane	Polokwane	Emmanuel Greyling 079 699 0142	25	Limpopo
Jones Street Square Telematic Learning Centre Corner of Chapel & Curry Street Kimberley	Kimberley	Doreen (Liz) Kwon Hoo 079 699 0145 079 699 0144	25	Northern Cape
Roman Catholic Church Vaal University of Technology Le Roux Street Upington	Upington	Wendell Adams 079 699 0167 079 699 0168	21	Northern Cape
Stellenbosch University Bellville Park Campus Carl Cronje Drive Van Der Horst Building Bellville Park Campus Room 102 Bellville	Bellville	Fred Rheeder 079 699 0063	85	Western Cape
Stellenbosch University Tygerberg Campus Fransie van Zijl Rd Fisan building Room F334	Tygerberg Kampus	Ronel Buchner Given Nkuna 079 699 0174	42	Western Cape
Shamrock Place 97 York Street Ground Floor Room 34 A George	George	Lappies Labuschagne 079 699 0102	30	Western Cape
Administration A Building Telematic Services	Stellenbosch	Douglas Davis 079 699 0146	20	Western Cape

Venue Address	City	Venue Manager	Number of Seats	Region
Van Ryneveld Street,				
Stellenbosch				
Entrance left of Admin A main				
entrance				
Room 1070				
University of Stellenbosch				
Stellenbosch				
Institute for the Deaf	Worcester	Amanda van	36	Western
30 De La Bat Road		den Bergh		Cape
Ou Meule		079 699 0170		
Worcester				