

(22 September 2014 – to date)

PRECIOUS METALS ACT 37 OF 2005

*(Government Notice 395 in Government Gazette 28764 dated 21 April 2006. Commencement date:
1 July 2007 [Proc. No. R.17 in Gazette No. 30071 dated 12 July 2007])*

PRECIOUS METALS REGULATIONS

*Government Notice R570 in Government Gazette 30061 dated 9 July 2007. Commencement date:
9 July 2007.*

As amended by:

*Government Notice R387 in Government Gazette 30942 dated 4 April 2008. Commencement date:
4 April 2008.*

*Government Notice R737 in Government Gazette 38014 dated 22 September 2014. Commencement date:
22 September 2014.*

I, Buyelwa Patience Sonjica, Minister of Minerals and Energy, hereby, under section 23 of the Precious Metals Act, 2005 (Act 37 of 2005), with the concurrence of the Minister of Finance, make the Regulations set out in the Schedule.

SCHEDULE

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1. Definitions

In these regulations any word or expression to which a meaning has been assigned in the Act shall have the meaning so assigned and, unless the context otherwise indicates -

“carat” means a measure of the purity of gold on the gold carat scale, which expresses the proportion of gold in parts per 24 by mass of an alloy contained in Table 1 of Annexure B;

“carat gold alloys” means semi-fabricated precious metal in the form of gold alloys with the range of purity specified in Table 2 of Annexure B;

“certified copy” means a copy of a document certified as such by a commissioner of oaths;

“dental alloys” means semi-fabricated precious metal in the form of alloys conforming to specifications approved by the South African Bureau of Standards or the Health Professions Council of South Africa;

“exclusionary act” means an act or practice which unfairly impedes or prevents any person from entering or remaining in the precious metals industry, or from entering or remaining in a market connected to that industry;

“fineness” means the degree of purity of a precious metal, expressed in parts per thousand by mass;

“form” means a document or standard form attached to the regulations in Annexure C;

“precious metal alloy” means semi-fabricated precious metal in the form of an alloy made from a mixture of refined precious metal with one or more than one refined metal(s), and includes carat gold alloys, dental alloys and platinum and palladium jewellery alloys; and

“the Act” means the Precious Metals Act, 2005 (Act 37 of 2005).

2. Manner of lodging an application

- (1) Any application for a licence, certificate, permit or approval contemplated in the Act must be lodged by submitting the appropriate, completed form contained in Annexure C to the office of the Regulator at the relevant address specified in the form.
- (2) All applications contemplated in subregulation (1) must be lodged within the working hours determined by the Regulator with the receiving official, who must note thereon the date of such lodgement.
- (3) The receiving official must issue an applicant with a receipt in respect of any application lodged, indicating -
 - (a) the name of the applicant;
 - (b) the type of licence, permit or approval applied for;
 - (c) the amount of the non-refundable application fee paid;
 - (d) the name of the receiving official;
 - (e) the date of receipt of the application;
 - (f) a reference number; and
 - (g) any other information that the Regulator may deem fit.

3. Application for refining licence

- (1) An application for a refining licence contemplated in section 7(1) of the Act must be completed in the form of Form PMR contained in Annexure C, and must contain -
 - (a) in the case of a natural person, the name, surname and identity number of the applicant; or
 - (b) in the case of a company or close corporation, the name and registration number of the company or close corporation; and
 - (c) the physical address of the premises on which the applicant will conduct business as the holder of a refining licence;
 - (d) the full names and identity number of the managing director or member;
 - (e) particulars of the interest held (%) in the juristic person and the name of the holder of the controlling interest;
 - (f) an indication of the precious metals to be refined;
 - (g) the source from which precious metals will be obtained;
 - (h) a description of the applicant's technical ability or access to technical expertise;
 - (i) details of the applicant's financial status;
 - (j) details of the target market and the market requirements in respect of the precious metals concerned (if applicable);
 - (k) particulars of any criminal record in respect of an offence for which the holder has been convicted in terms of the Act or any other law.
- (2) The following documents must be lodged with an application contemplated in subregulation (1):
 - (a) in the case of a natural person, a certified copy of the applicant's identity document; or
 - (b) in the case of a company or close corporation, certified copies of the certificate of incorporation and the articles of association or the founding statement; and

- (c) documentary proof that the person applying in a representative capacity on behalf of a company or close corporation has obtained the necessary authority to apply in that capacity on behalf of the company or close corporation and detailed particulars of its directors or members;
 - (d) documentary proof of the applicant's registered business premises;
 - (e) detailed documentary proof of the applicant's technical ability or access to appropriate expertise to conduct the refining operation;
 - (f) documentary proof of the applicant's financial status or access to appropriate financial assistance;
 - (g) documentary proof that the applicant has complied with the requirements of the National Environmental Management Act, 1998 (Act 107 of 1998);
 - (h) the applicant's business plan, inclusive of measures to comply with the broad-based socio-economic empowerment charter developed in terms of section 100 of the Mineral and Petroleum Resources Development Act, 2002 (Act 65 of 2002);
 - (i) an original police clearance certificate of the applicant or, in the case of a company or close corporation, of any director or member involved in the management of such company or close corporation;
 - (j) a tax clearance certificate.
- (3) An application for a refining licence must be lodged with the relevant non-refundable application fee contemplated in Annexure A.

4. Application for renewal of refining licence

- (1) An application for the renewal of a refining licence contemplated in section 7(7) of the Act must be completed in the form of Form PMR(i) contained in Annexure C, and must be accompanied by the information requested therein.
- (2) An application for the renewal of a refining licence must be lodged together with the relevant non-refundable application fee contemplated in Annexure A.
- (3) A refining licence in respect of which an application for renewal has been lodged shall, despite its expiry date, remain in force until such time as such application has been granted or refused.

5. Issuing of refining licence

- (1) The Regulator may, subject to subregulation (2), within 60 days of the lodgement of an application, issue or renew a refining licence if -
 - (a) the applicant has complied with the objectives of the broad-based socio-economic empowerment charter developed in terms of section 100 of the Mineral and Petroleum Resources Development Act, 2002 (Act 28 of 2002);
 - (b) the applicant is not in contravention of any provisions of the Act;
 - (c) the applicant has access to financial resources and appropriate technical expertise to conduct the proposed refining operation; and
 - (d) the business plan is compatible with the intended refining operations and the duration thereof.
- (2) The Regulator may refuse the issuing or renewal of a refining licence if -
 - (a) the issuing of such licence will -
 - (i) result in an exclusionary act; or
 - (ii) prevent fair competition; or
 - (b) market conditions do not support further refining operations.

6. Terms and conditions of refining licence

- (1) Subject to such terms and conditions as may be stipulated on a licence, the holder of a refining licence may do the following:
 - (a) Buy and receive unwrought precious metals in any form from the South African Mint or a holder of a refining licence, authorized dealer, producer, holder of a certificate or holder of a precious metal beneficiation licence;
 - (b) buy or receive semi-fabricated precious metal from the South African Mint, a holder of a refining licence, authorized dealer, producer, holder of a certificate or holder of a precious metal beneficiation licence;
 - (c) smelt, refine or change the form of unwrought precious metal in his or her possession and thereafter dispose of such precious metal in semi-fabricated form to -
 - (i) the South African Mint or a holder of a refining licence, authorized dealer, holder of a certificate contemplated in section 4(1)(d) or 5(1)(d) of the Act, holder of a special permit

contemplated in section 4(1)(e) or 5(1)(e) of the Act or of a precious metal beneficiation licence; or

- (ii) a holder of a refining licence, authorized dealer, holder of a certificate, holder of a special permit, holder of a precious metal beneficiation licence or holder of a jeweller's permit;
 - (d) extract precious metal from any material, substance or solution in his or her lawful possession and dispose of such precious metal in accordance with the provisions of the Act;
 - (e) buy or receive from the holder of a refining licence or precious metal beneficiation licence, an authorized dealer or a producer, any material, substance or solution in the lawful possession of that holder, authorized dealer or producer containing precious metal, and extract from such material, substance or solution the precious metal and dispose thereof in accordance with the provisions of the Act; and
 - (f) import any unwrought or semi-fabricated precious metal into South Africa subject to the terms and conditions stipulated on such holder's licence.
- (2) Subject to such terms and conditions as may be stipulated on a licence, the holder of a refining licence must do the following:
- (a) Conduct any activity authorized by the licence on the premises or at the place described on the licence or an endorsement of such licence in terms of section 7(6) of the Act;
 - (b) advise the Regulator forthwith and in writing of any unlawful conduct relating to precious metals in which such holder has been asked or approached to participate or which he has been asked to facilitate;
 - (c) keep proper books of accounts in accordance with generally accepted accounting practice and submit such information to the Regulator annually by not later than 90 days after the end of his or her business' financial year; and
 - (d) keep a true and correct register of all unwrought and semi-fabricated precious metals deposited for safekeeping, received, dispatched or otherwise disposed of by the holder of the refining licence.

(Regulation 6(2)(d) substituted by regulation 1 of Government Notice R387 in Government Gazette 30942 dated 4 April 2008)

7. Application for precious metal beneficiation licence

- (1) An application for a precious metal beneficiation licence contemplated in section 8(1) of the Act must be completed in the form of Form PMB contained in Annexure C, and must contain -

- (a) in the case of a natural person, the name, surname and identity number of the applicant; or
 - (b) in the case of a company or close corporation, the name and registration number of the company or close corporation; and
 - (c) the physical address of the premises on which the applicant will conduct business as a holder of a precious metal beneficiation licence;
 - (d) the full names and identity number of the managing director or member;
 - (e) particulars of the interest held (%) in the juristic person and name of the holder of the controlling interest;
 - (f) an indication of the precious metal to be benefited;
 - (g) the source from which the precious metal will be obtained;
 - (h) a description of the applicant's technical ability;
 - (i) details of the applicant's financial status;
 - (j) details of the target market and the market requirements in respect of the precious metal concerned; and
 - (k) particulars of any criminal record in respect of an offence for which the holder has been convicted in terms of the Act or any other law.
- (2) The following documents must be lodged together with an application contemplated in subregulation (1):
- (a) In the case of a natural person, a certified copy of the applicant's identity document; or
 - (b) in the case of a company or close corporation, certified copies of the certificate of incorporation and the articles of association or the founding statement; and
 - (c) documentary proof that the person applying in a representative capacity on behalf of a company or close corporation has obtained the necessary authority to apply on behalf of the company or close corporation and detailed particulars of the directors or members of such company or close corporation;
 - (d) documentary proof of the registered business premises;

- (e) detailed documentary proof of the applicant's technical ability or access to appropriate expertise to conduct the beneficiation operation;
 - (f) documentary proof of the applicant's financial status or access to appropriate financial assistance;
 - (g) a business plan, inclusive of the applicant's broad-based socio-economic empowerment charter developed in terms of section 100 of the Mineral and Petroleum Resources Development Act, 2002 (Act 28 of 2002);
 - (h) a tax clearance certificate; and
 - (i) an original police clearance certificate of the applicant or, in the case of a company or close corporation, of any director or member involved in the management of such company or close corporation.
- (3) An application for a precious metal beneficiation licence must be lodged together with the relevant non-refundable application fee contemplated in Annexure A.

8. Application for renewal of precious metal beneficiation licence

- (1) An application for the renewal of a precious metal beneficiation licence contemplated in section 8(8) of the Act must be completed in the form of Form PMB(i) contained in Annexure C, and must be accompanied by the information requested therein.
- (2) An application for the renewal of a precious metal beneficiation licence must be lodged together with the relevant non-refundable application fee contemplated in Annexure A.
- (3) A precious metal beneficiation licence in respect of which an application for renewal has been lodged shall, despite its expiry date, remain in force until such time as such application has been granted or refused.

9. Issuing of precious metal beneficiation licence

- (1) The Regulator may, subject to subregulation (2), within 60 days of the lodgement of an application, issue or renew a precious metal beneficiation licence if -
- (a) the applicant is not in contravention of any provision of the Act;
 - (b) the applicant has access to appropriate financial resources and the necessary technical expertise to conduct the proposed beneficiation operation; and

- (c) the applicant's business plan is compatible with the intended beneficiation operation.
- (2) The Regulator may refuse the issuing or renewal of a precious metal beneficiation licence if the issuing of such licence will -
 - (a) result in an exclusionary act; or
 - (b) prevent fair competition.

10. Terms and conditions of precious metal beneficiation licence

Subject to such terms and conditions as may be stipulated on a licence, the holder of a precious metal beneficiation licence may do the following:

- (a) Buy or receive unwrought or semi-fabricated precious metals in any form from any person authorized under this Act to sell, deal in or dispose of unwrought or semi-fabricated precious metal;
 - (b) make up, change the form of or add value in any other manner to any unwrought or semi-fabricated precious metal in his or her lawful possession; and
 - (c) dispose of any unwrought or semi-fabricated precious metal in his or her lawful possession.
- (2) Subject to such terms and conditions as may be stipulated on a licence, the holder of a precious metal beneficiation licence must do the following:
- (a) Conduct any activity authorized by the licence on the premises or at the place described on the licence or described on any endorsement of that licence in terms of section 8(7) of the Act;
 - (b) keep proper books of accounts in accordance with generally accepted accounting practice and submit a copy of its audited financial statements to the Regulator annually by not later than 90 days after the end of his or her business' financial year; and
 - (c) keep a true and correct register of all unwrought and semi-fabricated precious metals deposited for safekeeping, received, dispatched or otherwise disposed of by the holder of the precious metals licence.

(Regulation 10(2)(c) substituted by regulation 2 of Government Notice R387 in Government Gazette 30942 dated 4 April 2008)

11. Application for jeweller's permit

- (1) An application for a jeweller's permit contemplated in section 9(1) of the Act must be completed in the form of Form PMJ contained in Annexure C, and must contain -
 - (a) in the case of a natural person, the name, surname and identity number of the applicant; or
 - (b) in the case of a company or close corporation, the name and registration number of the company or close corporation; and
 - (c) the physical address of the premises on which the applicant will carry on business as a holder of a jeweller's permit;
 - (d) the full names of the managing director or member;
 - (e) particulars of the interest held (%) in the juristic person and name of the holder of the controlling interest;
 - (f) an indication of the metals, alloys or solders, as the case may be, to be manufactured into jewellery;
 - (g) the source from which such metals, alloys, or solders, as the case may be, will be obtained;
 - (h) a description of the applicant's manufacturing ability (if any); and
 - (i) particulars of any criminal record in respect of an offence for which such holder has been convicted in terms of the Act or any other law.
- (2) The following documents must be lodged together with the application:
 - (a) In the case of a natural person, a certified copy of the applicant's identity document; or
 - (b) in the case of a company or close corporation, certified copies of the certificate of incorporation and the articles of association or the founding statement; and
 - (c) documentary proof that the person applying in a representative capacity on behalf of a company or close corporation has obtained the necessary authority to apply in that capacity on behalf of such company or close corporation and detailed particulars of the directors of such company or the members of such close corporation;
 - (d) documentary proof of the registered business premises;
 - (e) the applicant's curriculum vitae or a brief description of the applicant's manufacturing ability;

- (f) documentary proof of the applicant's compliance with the requirements of the National Environmental Management Act, 1998 (Act 107 of 1998);
 - (g) a description of the applicant's intended business activities, together with an indication of the broad-based socio-economic empowerment charter as developed in terms of section 100 of the Mineral and Petroleum Resources Development Act, 2002 (Act 28 of 2002), where applicable;
 - (h) a tax clearance certificate, where applicable; and
 - (i) an original police clearance certificate of the applicant or, in the case of a company or close corporation, of any director or member involved in the management of such company or close corporation.
- (3) An application for a jeweller's permit must be lodged together with the relevant non-refundable application fee contemplated in Annexure A.

12. Application for renewal of jeweller's permit

- (1) An application for the renewal of a jeweller's permit contemplated in section 9(6) of the Act must be completed in the form of Form PMJ(i) contained in Annexure C, and must be accompanied by the information requested therein.
- (2) An application for the renewal of a jeweller's permit must be lodged together with the relevant non-refundable application fee contemplated in Annexure A.
- (3) A jeweller's permit in respect of which an application for renewal has been lodged shall, despite its expiry date, remain in force until such time as such application has been granted or refused.

13. Issuing of jeweller's permit

- (1) The Regulator may, subject to subregulation (2), within 30 days of the lodgement of an application, issue or renew a jeweller's permit if -
 - (a) the applicant has the manufacturing ability or access to appropriate manufacturing resources to conduct the proposed jewellery manufacturing operation; and
 - (b) the applicant is not in contravention of any provision of the Act.
- (2) The Regulator may refuse the issuing of a jewellers' permit if the issuing of such permit will -
 - (a) result in an exclusionary act; or

- (b) prevent fair competition.

14. Terms and conditions of jeweller's permit

Subject to such terms and conditions as may be stipulated on a permit, the holder of a jeweller's permit may do the following:

- (a) Buy or receive semi-fabricated precious metal in any form from any person authorized under the Act to sell, deal in or dispose of semi-fabricated precious metals;
 - (b) change the form of, or in any manner add value to, semi-fabricated precious metal in his or her possession; and
 - (c) dispose of any semi-fabricated precious metal in his or her possession.
- (2) Subject to such terms and conditions as may be stipulated on a permit, the holder of a jeweller's permit must do the following:
- (a) keep proper books of accounts in accordance with generally accepted accounting practice, as well as records of the total quantities of metals purchased and sold,
(Regulation 14(2)(a) substituted by regulation 3(a) of Government Notice R387 in Government Gazette 30942 dated 4 April 2008)
 - (aA) complete and submit to the Regulator form PMR 4 contemplated in Regulation 33 every six calendar months not later than ~~that~~ fifteen days after the end of every six calendar months.
(Regulation 14(2)(aA) inserted by regulation 3(b) of Government Notice R387 in Government Gazette 30942 dated 4 April 2008)
(Regulation 14(2)(aA) substituted by regulation 2 of Government Notice R737 in Government Gazette 38014 dated 22 September 2014)
 - (b) conduct any activity authorized by the licence on the premises or at the place described on the licence or described on any endorsement of that licence in terms of section 9(10) of the Act.

15. Application for certificate

- (1) An application for a certificate contemplated in section 4(1)(d) or 5(1)(d) of the Act must be completed in the form of Form PMC contained in Annexure C, and must contain -
- (a) in the case of a natural person, the name, surname and identity number of the applicant; or
 - (b) in the case of a company or close corporation, the name and registration number of the company or close corporation; and

- (c) the physical address of the premises on which the applicant will conduct business as a holder of the relevant certificate;
 - (d) the full name and identity number of the managing director or member;
 - (e) particulars of the interest held (%) in the juristic person and name of the holder of the controlling interest;
 - (f) an indication of the precious metals to be acquired;
 - (g) the source from which precious metals will be obtained;
 - (h) the period for which the certificate is required;
 - (i) details of the applicant's financial status;
 - (j) details of the target market and the market requirements in respect of the precious metals concerned; and
 - (k) particulars of any criminal record in respect of an offence for which the holder has been convicted in terms of the Act or any other law.
- (2) The following documents must be lodged together with an application contemplated in subregulation (1):
- (a) In the case of a natural person, a certified copy of the applicant's identity document; or
 - (b) in the case of a company or close corporation, certified copies of the certificate of incorporation and the articles of association or the founding statement; and
 - (c) documentary proof that the person applying in a representative capacity on behalf of a company or close corporation has obtained the necessary authority to apply in that capacity on behalf of such company or close corporation and detailed particulars of the directors of such company or the members of such close corporation;
 - (d) documentary proof of the registered business premises;
 - (e) documentary proof of the applicant's financial status and access to suitable financial support;

- (f) the applicant's business plan, inclusive of the broad-based socio-economic empowerment charter developed in terms of section 100 of the Mineral and Petroleum Resources Development Act, 2002 (Act 28 of 2002);
 - (g) a tax clearance certificate; and
 - (h) an original police clearance certificate of the applicant or, in the case of a company or close corporation, of any director or member involved in the management of such company or close corporation.
- (3) An application for a certificate contemplated in section 4(1)(d) or 5(1)(d) of the Act must be lodged together with the relevant non-refundable application fee contemplated in Annexure A.

16. Issuing of certificate

- (1) The Regulator may, subject to subregulation (2), within 30 days of the lodgement of an application, issue a certificate contemplated in section 4(1)(d) of the Act.

(Regulation 16(1) substituted by regulation 4 of Government Notice R387 in Government Gazette 30942 dated 4 April 2008)

- (2) The Regulator may refuse the issuing or renewal of a certificate if the issuing of such certificate will -
- (a) result in an exclusionary act; or
 - (b) prevent fair competition.

17. Terms and conditions of certificate

Subject to such terms and conditions as may be stipulated on a certificate, the holder of a certificate shall -

- (a) be entitled to acquire or dispose of the unwrought or semi-fabricated precious metal indicated on the certificate, as the case may be;
- (b) keep proper books of accounts in accordance with generally accepted accounting practice and submit a copy of its audited financial statements to the Regulator annually by not later than 90 days after the end of his or her business' financial year; and
- (c) keep a true and correct register of all unwrought and semi-fabricated precious metals deposited, received, dispatched, or otherwise disposed of by the holder of the certificate.

(Regulation 17(c) substituted by regulation 5(a) of Government Notice R387 in Government Gazette 30942 dated 4 April 2008)

(d) the certificate is valid for a period not exceeding 3 months from the date of issue by the Regulator.
(Regulation 17(d) added by regulation 5(b) of Government Notice R387 in Government Gazette 30942 dated 4 April 2008)

18. Application for special permit

- (1) An application for a special permit contemplated in section 4(1)(e) or 5(1)(e) of the Act must be completed in the form of Form PMS contained in Annexure C, and must contain -
- (a) in the case of a natural person, the name, surname and identity number of the applicant; or
 - (b) in the case of a company or close corporation, the name and registration number of the company or close corporation; and
 - (c) the physical address of the premises on which the applicant will conduct business as a holder of a special permit contemplated in section 4(1)(e) or 5(1)(e) of the Act;
 - (d) the full name and identity number of the managing director or member;
 - (e) particulars of the interest held (%) in the juristic person and name of the holder of the controlling interest;
 - (f) an indication of the precious metals to be acquired;
 - (g) the purpose for which the precious metals will be used;
 - (h) the period for which the special permit is required;
 - (i) the reason for acquiring the unwrought precious metals;
 - (j) the mass of the unwrought precious metals to be acquired;
 - (k) a description of the applicant's technical ability;
 - (l) details of the applicant's financial status;
 - (m) details of the target market and the market requirements in respect of the precious metals concerned; and
 - (n) particulars of the criminal record, if any, in respect of any offence for which the holder has been convicted in terms of the Act or any other law.

- (2) The following documents must be lodged together with the application:
- (a) in the case of a natural person, a certified copy of the applicant's identity document; or
 - (b) in the case of a company or close corporation, certified copies of the certificate of incorporation and the articles of association or the founding statement; and
 - (c) documentary proof that the person applying in a representative capacity on behalf of a company or close corporation has obtained the necessary authority to apply in that capacity on behalf of such company or close corporation and detailed particulars of the directors of such company or the members of such close corporation;
 - (d) documentary proof of the registered business premises;
 - (e) detailed documentary proof of the applicant's technical ability or access to appropriate expertise to conduct a scientific or beneficiation operation or to make jewellery;
 - (f) documentary proof of the applicant's financial status or access to appropriate financial support;
 - (g) the applicant's business plan, inclusive of measures to comply with the broad-based socio-economic empowerment charter developed in terms of section 100 of the Mineral and Petroleum Resources Development Act, 2002 (Act 28 of 2002);
 - (h) a tax clearance certificate; and
 - (i) an original police clearance certificate of the applicant or, in the case of a company or close corporation, of any director or member involved in the management of such company or close corporation.
- (3) An application for a special permit contemplated in section 4(1)(e) or 5(1)(e) of the Act must be lodged together with the relevant non-refundable application fee contemplated in Annexure A.

19. Issuing of special permit

- (1) The Regulator may within 60 days of the lodgement of an application, issue a special permit contemplated in section 4(1)(e) or 5(1)(e) of the Act if -
- (a) the applicant is not in contravention of any provision of the Act; and
 - (b) the business plan is compatible with the intended scientific, beneficiation or jewellery manufacturing operation.

- (2) The Regulator may refuse the issuing of a special permit if the issuing of such certificate will -
- (a) result in an exclusionary act; or
 - (b) prevent fair competition.

20. Terms and conditions of special permit

Subject to such terms and conditions as may be stipulated on a special permit, the holder of a special permit contemplated in section 4(1)(e) or 5(1)(e) of the Act must -

- (a) conduct a scientific, beneficiation or jewellery manufacturing operation;
- (b) keep proper books of accounts in accordance with generally accepted accounting practice and submit a copy of its audited financial statements to the Regulator annually by not later than 90 days after the end of his or her business' financial year; and
- (c) keep a true and correct register of all unwrought precious metals and semi-fabricated precious metals deposited for safekeeping, received, dispatched or otherwise disposed of the holder of a special permit.

(Regulation 20(c) substituted by regulation 6 of Government Notice R387 in Government Gazette 30942 dated 4 April 2008)

21. Permit to import

- (1) An application for a permit to import contemplated in section 10(2) of the Act must be completed in the form of Form PMI contained in Annexure C, and must contain -
- (a) in the case of a natural person, the name, surname and identity number of the applicant; or
 - (b) in the case of a company or close corporation, the name and registration number of the company or close corporation; and
 - (c) if known to the applicant at the time of lodging the application, the quantity, fineness, form and value of the precious metal to be imported;
 - (d) if known to the applicant at the time of lodging the application, the period during which such precious metal may be imported;
 - (e) if known to the applicant at the time of lodging the application, the port(s) through which such precious metal may be imported;

- (f) if known to the applicant at the time of lodging the application, the country or countries from which such precious metal may be imported;
 - (g) if know to the applicant at the time of lodging the application, the purpose of the imports;
 - (h) particulars of any criminal record in respect of any offence for which the holder has been convicted in terms of the Act or any other law.
- (2) The following documents must be lodged together with an application contemplated in subregulation (1):
- (a) In the case of a natural person, a certified copy of the applicant's identity document; or
 - (b) in the case of a company or close corporation, certified copies of the certificate of incorporation and the articles of association or founding statement; and
 - (c) documentary proof of the applicant's registered business premises; and
 - (d) an original police clearance certificate of the applicant or, in the case of a company or close corporation, of any director or member involved in the management of such company or close corporation.
- (3) An application for a permit to import contemplated in section 10(2) of the Act must be lodged together with the relevant non-refundable application fee contemplated in Annexure A.
- (4) The Regulator may, subject to subregulation (5), within 14 days of the lodgement of an application, issue a permit to import if -
- (a) the applicant is the holder of a refining licence, authorized dealer, producer, holder of a certificate, holder of a special permit, holder of a precious metal beneficiation licence or holder of a jeweller's permit; and
 - (b) such precious metal has been duly entered for customs and excise purposes in accordance with the Customs and Excise Act, 1964 (Act 91 of 1964).
- (5) A precious metal may be refused entry into the South Africa if -
- (a) that precious metal is in the opinion of the Regulator of dubious origin; or
 - (b) that precious metal has been incorrectly described or declared.

22. Terms and conditions of permit to import

- (1) Subject to such terms and conditions as may be stipulated on a permit, a permit to import shall -
 - (a) entitle the holder of a refining licence, authorized dealer, producer, holder of a certificate, holder of a special permit or a holder of a precious metal beneficiation licence to import any unwrought or semi-fabricated precious metal as set out in the permit into South Africa; and
 - (b) entitle the holder of a jeweller's permit to import semi-fabricated precious metal into South Africa.
- (2) A holder of a permit to import must on a quarterly basis declare to the Regulator and submit documentary proof of each import transaction, indicating -
 - (a) the date of import of the unwrought or semi-fabricated precious metal imported;
 - (b) the type of the unwrought or semi-fabricated precious metal imported;
 - (c) the quantity of the unwrought or semi-fabricated precious metal imported;
 - (d) the total value of the unwrought or semi-fabricated precious metal imported; and
 - (e) the origin of the unwrought or semi-fabricated precious metal imported.
- (3) A permit to import shall be valid for a period of one calendar year.

23. Export approval

- (1) An application to export unwrought or semi-fabricated precious metals, as contemplated in section 12 of the Act, must be completed in the form of Form EA contained in Annexure C, and must contain -
 - (a) in the case of a natural person, the name, surname and identity number of the applicant; or
 - (b) in the case of a company or close corporation, the name and registration number of the company or close corporation; and
 - (c) the period for which the applicant intends to export such precious metal;
 - (d) if known to the applicant at the time of lodging the application, the quantity, fineness, form and value of the precious metal to be exported;
 - (e) if known to the applicant at the time of lodging the application, the port or ports through which such precious metal is to be exported;

- (f) if known to the applicant at the time of lodging the application, the country or countries to which such precious metal is to be exported;
 - (g) if known at the time of lodging the application, the purpose of the export of such precious metal;
 - (h) where the application relates to the export of precious metals in terms of a contract concluded or about to be concluded by the applicant, a copy of those parts of the contract or draft contract, if any, reflecting the terms relating to the volume of the precious metals to be exported, the period during which the contract will apply and the conditions relating to the delivery of the precious metals or, where the contract is not in writing, a summary of those terms of the contract referred to above;
 - (i) projections of the total monthly production relative to the projected monthly sales of the precious metals that the applicant wishes to export during the period referred to in subregulation (1)(d), demonstrating that the applicant is able to contribute towards satisfying the anticipated local demand for the precious metals concerned; and
 - (j) particulars of any criminal record in respect of any offence for which the holder has been convicted in terms of the Act or any other law.
- (2) The following documents must be lodged together with an application contemplated in subregulation (1):
- (a) In the case of a natural person, a certified copy of the applicant's identity document; or
 - (b) in the case of a company or close corporation, certified copies of a certificate of incorporation and the articles of association or founding statement; and
 - (c) documentary proof of the applicant's registered business premises; and
 - (d) an original police clearance certificate of the applicant or, in the case of a company or close corporation, of any director or member involved in the management of the company or close corporation.
- (3) The Minister may, within 30 days of the lodgement of an application, issue an approval to export if -
- (a) the applicant has provided information to the satisfaction of the Minister that provision has been made to meet local demand for the beneficiation of unwrought and semi-fabricated precious metal as local demand may indicate, and that there are reasonable grounds to believe that such local demand will continue to be met during the period for which the consent to export is valid;

(Regulation 23(3)(a) substituted by regulation 7 of Government Notice R387 in Government Gazette 30942 dated 4 April 2008)

- (b) in the case of gold, the National Treasury has given its approval.

24. Terms and conditions of export approval

- (1) The Minister may grant an application for approval to export precious metals subject to the condition that, where any information required under section 23(1) above was not available at the time when the application was made, the information concerned must be submitted to the Regulator within a time frame and in a manner to be determined by the Minister.
- (2) The applicant must retain a copy of the Bill of Entry for Export issued in terms of the Customs and Excise Act, 1964 (Act 91 of 1964), together with all supporting documents in respect of each consignment of the precious metal exported in terms of the approval granted by the Minister for a period of three years after the date of export.

(Regulation 24(2) substituted by regulation 8 of Government Notice R387 in Government Gazette 30942 dated 4 April 2008)

- (3) A holder of an export approval shall bi-annually declare and submit to the Regulator a complete and accurate summary of its monthly exports, indicating -
 - (a) the quantity of the unwrought or semi-fabricated precious metals exported in each month;
 - (b) the type of the unwrought or semi-fabricated precious metals exported in each month;
 - (c) the total value of the unwrought or semi-fabricated precious metals exported in each month; and
 - (d) the origin of the unwrought or semi-fabricated precious metals exported.

25. Endorsements on change of circumstances

- (1) If at any time after a certificate, licence or permit has been issued, the circumstances under which such certificate, licence or permit was issued should change, the holder may within seven days of becoming aware of such change, request the Regulator in writing to endorse on such certificate, licence or permit such changes as the Regulator may deem necessary.
- (2) If at any time after an export approval has been issued, the circumstances under which such approval was issued should change, the holder of such approval may within seven days of becoming aware of such changes, request the Minister in writing to endorse on such export approval such changes as the Minister may deem necessary.

- (3) Requests as contemplated in subregulations (1) and (2) must indicate -
- (a) in the case of a natural person, the name, surname and identity number of the applicant; or
 - (b) in the case of a company or close corporation, the name and registration number of the company or close corporation; and
 - (c) the physical address of the premises on which the applicant carries on business as a holder of a licence, permit, approval or other authorization in terms of the Act;
 - (d) the type of certificate, licence, permit or approval to be endorsed;
 - (e) the nature of such circumstances; and
 - (f) the registered number of such certificate, licence or permit;
- (4) The request as contemplated in subregulation (1) must be accompanied by a copy of the certificate, licence, or permit for which an endorsement is requested and the relevant non-refundable application fee as contemplated in Annexure A.
- (5) The Regulator or the Minister, as the case may be, may, within seven days of receipt of a request as contemplated in subregulation (1), endorse the following changes that the Regulator or the Minister may deem necessary on such certificate, licence or permit:
- (a) The source from which precious metal will be obtained;
 - (b) the quantity, fineness, form and value of such precious metal;
 - (c) the port or ports from which such precious metal may be imported;
 - (d) the country or countries from which such precious metal may be imported;
 - (e) a change in the membership of a close corporation;
 - (f) the terms and conditions under which such certificate, licence or permit was issued; and
 - (g) any other changes that the Regulator or the Minister, as the case may be, may deem necessary.

26. Document for transportation and conveyance of precious metals

Any person who transports or in any manner conveys any unwrought or semi-fabricated precious metals outside the boundaries of any mine, works or other property or place where such metal is mined, refined or worked with, must be in possession of a waybill containing -

- (a) the name and street address of the sender of such unwrought or semi-fabricated precious metals;
- (b) the name and number of a contact person of the sender of such unwrought or semi-fabricated precious metals;
- (c) the name of the owner of such unwrought or semi-fabricated precious metals;
- (d) the name and street address of the person to whom such unwrought or semi-fabricated precious metals are being transported or conveyed;
- (e) the name and contact number of the contact person of the person to whom such unwrought or semi-fabricated precious metals are being transported or conveyed;
- (f) an invoice number of the unwrought or semi-fabricated precious metals inside the packaging of the unwrought or semi-fabricated precious metals; and
- (g) a corresponding invoice number on the outside of the packaging of the unwrought or semi-fabricated precious metals.

27. Cancellation and suspension of licences, permits and certificates

- (1) Before acting under section 11 of the Act, the Regulator must -
 - (a) give written notice to the holder of a licence, permit or certificate indicating the intention to suspend or cancel a licence, permit or certificate;
 - (b) set out the reasons why he or she is considering suspending or cancelling such licence, permit or certificate; and
 - (c) afford the holder a reasonable opportunity to show reasons why such licence, permit or certificate should not be suspended or cancelled.
- (2) The Regulator may direct the holder of a licence, permit or certificate to take specified measures to remedy any contravention, breach or failure.
- (3) If a holder does not comply with any directive given under subregulation (2), the Regulator may cancel or suspend the relevant licence, permit or certificate.

- (4) The Regulator may by written notice to the holder lift a suspension if the holder -
 - (a) complies with a directive contemplated in subregulation (2); and
 - (b) furnishes compelling reasons for the lifting of the suspension.

27A. Registration of Producer in the South African Diamond and Precious Metals Regulator's National Register

- (1) In order to keep proper records of production and sale of precious metals,-
- (2) Every producer must complete and submit form PM REG1 contained in Annexure A for registration with the Regulator on or before 1 May 2008; and
- (3) The Regulator shall issue a unique registration number which must be reflected always in the registers or information to be submitted by the registered producer to the Regulator.

(Regulation 27A inserted by regulation 9 of Government Notice R387 in Government Gazette 30942 dated 4 April 2008)

27B. Manufacturers of minted bars

- (1) Any holder of a refining licence or precious metal beneficiation licence who intends to manufacture minted bars may apply to the Regulator for registration as an approved manufacturer of minted bars and for a quota of minted bars to be manufactured in the Republic;
- (2) An application contemplated in sub-regulation (1) must be lodged by submitting a completed Form MB1 to the Regulator;
- (3) The following documents must be lodged together with Form MB1 contemplated in sub-regulation (1):
 - (a) business plan;
 - (b) documentary proof of accreditation from national or international organisation relating to manufacture of precious metals bars;
 - (c) track record of the manufacture of high quality precious metal bars;
 - (d) target market; and
 - (e) quality assurance system relating to precious metals bars.

- (4) The quantity of minted bars that maybe manufactured in the Republic may not exceed 500 000 troy ounces in a calendar year and this quantity may from time to time be adjusted by the Minister in consultation with the National Treasury by notice in the Gazette;
- (5) The maximum number of approved manufactures shall be determined by the Regulator in consultation with National Treasury, based on exchange control, security and market considerations;
- (6) The Regulator may within 30 days after lodgement of Form MB1 and subject to sub-regulation (3) register a holder of a refining licence or precious metal beneficiation licence as an approved manufacturer of minted bars;
- (7) If the Regulator refuses an application referred to in sub-regulation (1), the Regulator shall notify the applicant and provide the reason for his or her decision;
- (8) The Minister may after consultation with the Regulator publish from time to time in the Gazette, the names of approved manufacturers of minted bar.

(Regulation 27B inserted by regulation 10 of Government Notice R387 in Government Gazette 30942 dated 4 April 2008)

27C. Acquisition, Possession, Use and Disposal Of Minted Bar

- (1) An approved manufacturer of minted bar contemplated in regulation 27B(6) may sell a minted bar manufactured by him or her to any person, provided that it is accompanied by a certificate containing:
 - (i) the name of the approved manufacturer;
 - (ii) certification of the mass and purity; and
 - (iii) the serial number of the minted bar.
- (2) No person other than the holder of a refining licence, a precious metal beneficiation licence or a jeweller's permit may change the form of a minted bar.

(Regulation 27C inserted by regulation 10 of Government Notice R387 in Government Gazette 30942 dated 4 April 2008)

28. Form of minted precious metal bar

- (1) To be regarded as a minted bar, a precious metal bar must be of a size and mass as set out in Table 3 of Annexure B, and must consist of gold, platinum or palladium of the standard mass, caratage or fineness stipulated in Table 3 of Annexure B.

- (2) The Minister may from time to time amend Annexure B by notice in the *Gazette*.
- (3) The quantity of minted bars that may be manufactured in the country is 500 000 and this quantity may from time to time be adjusted by the Minister in consultation with the National Treasury by notice in the *Gazette*.
- (4) The general appearance of the bar in question must be such as to indicate the name of the licensee, the identifying mark of the licensee, the registration number of the licensee, the serial number of the bar and the percentage of the content of the precious metal concerned.
- (5) A bar must be sealed with a tamper-resistant seal before trade, and be accompanied by a certificate indicating -
 - (a) the name of the seller;
 - (b) the serial number of the bar; and
 - (c) the percentage of the content of the precious metal concerned.

29. Manner of submission and form of specimens

- (1) A producer of precious metals must submit specimens of such precious metals to the Forensic Science Laboratory of the South African Police Service every six months: Provided that a new mine must submit its first specimen within the first month of production.
- (2) All specimens submitted to the Forensic Science Laboratory must be accompanied by a fully completed Form MLS0087F, which is obtainable from the Forensic Science Laboratory.

(Publisher's note – Numbering as published in the original Gazette)

- (4) A mine with several shafts must submit specimens of the relevant precious metal extracted from each shaft.
- (5) Specimens of gold submitted by producing mines must be of raw, unprocessed gold in nugget form or of gold retrieved from concentrate, as well as processed gold (dore metal), whereas specimens of the platinum group of metals must be of furnace matte, converter matte and refinery feed.
- (6) Metal specimens must consist of at least 2 grams of the relevant precious metal, while ore or concentrate specimens must be representative and must consist of at least 100 grams of the relevant ore or concentrate.

- (7) Specimens submitted for inclusion in the profiling database shall remain part of the database and shall be retained by the Forensic Science Laboratory.

30. Appointment of inspectors

- (1) The Regulator may appoint any person in its service as an inspector.
- (2) An inspector appointed under subregulation (1) shall have such qualifications, background knowledge or experience as the Regulator may determine.
- (3) An inspector shall be furnished with a certificate of appointment signed by the chief executive officer of the Regulator, stating that he or she has been appointed as an inspector under the Act.

31. Functions of inspectors in service of Regulator

- (1) In addition to the other functions assigned to an inspector by or under any other law, an inspector may-
- (a) at any reasonable time enter any premises on which any activity in connection with unwrought or semi-fabricated precious metal is carried out and perform any reasonable act that may be necessary to ascertain whether the provisions of the Act have been complied with;
 - (b) require from any person who has in his or her possession or custody or under his or her control any register or document under the Act, to produce to him or her forthwith, or at such time and place as may be determined by him or her, any such register or document;
 - (c) examine any such register or document or make an extract from or a copy thereof, and require from any person an explanation of any entry in any such register or document.
- (2) An inspector shall not perform any function under the Act unless he or she is at the time of performing that function in possession of a certificate of appointment referred to in regulation 30(3), which certificate shall be produced at the request of any person affected by the performance of that function.

32. Form of carat gold alloys

- (1) The required form and composition of a carat gold alloy and a dental alloy shall be as set out in Table 2 of Annexure B.
- (a)

(Regulation 32(1)(a) deleted by regulation 3 of Government Notice R737 in Government Gazette 38014 dated 22 September 2014)

- (b) The Minister may, after consultation with the Regulator and the South African Bureau of Standards, or with the Health Professions Council of South Africa in the case of dental alloys, amend by notice in the *Gazette* the specifications relating to the range of purity, form and composition of carat gold alloys and dental alloys.
- (2) The required form and composition of platinum and palladium jewellery alloys shall be as set out in Table 3 of Annexure B.
- (3)
(Regulation 32(3) deleted by regulation 3 of Government Notice R737 in Government Gazette 38014 dated 22 September 2014)
- (4) The Minister may, after consultation with the Regulator and the South African Bureau of Standards, amend by notice in the *Gazette* the specifications relating to the range of purity, form and composition of platinum and palladium jewellery alloys.

33. Form of register

- (1) Form PMR 1 is a precious metals register for producer;
 - (a) Form PMR 2 is a precious metals register for refining licence;
 - (b) Form PMR 3 is a precious metals register for beneficiation licence;
 - (c) Form PMR 4 is declaration form of information of precious metals transactions for jeweller's permit;
 - (d) Form PMR 5 is a precious metals register for special permit;
 - (e) Form PMR 6 is a precious metals register for certificate;
 - (f) Form PMR 7 is a declaration form of import transactions of precious metals for import permit;
 - (g) Form PMR 8 is a declaration form of monthly export transactions of precious metals for export approval; and
 - (h) The Regulator may amend when deem fit, the form of the registers and other information from time to time.

(Regulation 33(1) substituted by regulation 11(a) of Government Notice R387 in Government Gazette 30942 dated 4 April 2008)

- (2) All information contemplated in subregulation (1) shall be entered on the register within 24 hours of each transaction.
- (3)
- (a) Form PMR 1, PMR 2, PMR 3, PMR 5, PMR 6 or PMR 7 contemplated in sub-regulation (1) must be submitted quarterly to the Regulator;
- (b) Form PMR 4 contemplated in sub-regulation (1) must be submitted every six calendar months to the Regulator not later than fifteen days after the end of every six calendar months;
(Regulation 33(3)(b) substituted by regulation 4 of Government Notice R737 in Government Gazette 38014 dated 22 September 2014)
- (c) Form PMR 8 contemplated in sub-regulation (1) must be submitted to the Regulator every six calendar months.
(Regulation 33(3) substituted by regulation 11(b) of Government Notice R387 in Government Gazette 30942 dated 4 April 2008)
- (4) Sub-regulations (1) and (3), apply *mutatis mutandis* to a holder of a recovery works licence, special permit holder, certificate holder or jeweller's permit holder whose licence, permit or certificate, as the case may be, continues in force in terms of section 24(3) of the Precious Metals Act, 2005 (Act 37 of 2005).
(Regulation 33(4) added by regulation 11(c) of Government Notice R387 in Government Gazette 30942 dated 4 April 2008)

34. Appeal against decisions

- (1) Any person desiring to appeal to the Minister in terms of section 7(2), 8(2) or 9(2) of the Act, must within 60 days after he or she has become aware of or may reasonably have become aware of the decision concerned, lodge a written notice of appeal with the chief executive officer of the South African Diamonds and Precious Metals Regulator.
- (2) The notice of appeal must state clearly -
- (a) the actions appealed against; and
- (b) the grounds on which the appeal is based.
- (3) The non-refundable application fee specified in Annexure A must accompany the notice of appeal.
- (4) The chief executive officer shall, within 21 days after the date on which an appeal was received by him or her, transmit such appeal, together with the reasons for his or her decision, to the Minister for consideration.

- (5) The Minister must forward the documents contemplated in subregulations (2) and (4) to the appellant by registered post and request him or her to respond thereto in writing within 14 days of receipt thereof.
- (6) The Minister must within 30 days from the date of receipt of the response contemplated in subregulation 7, make such decision, as contemplated in section 7(2) or 8(2) or 9(2) of the Act, as the Minister deems fit.

35. Revised form and content of forms PMR 1, PMR 2, PMR 3, PMR 4, PMR 5, PMR 6, PMR 7 and PMR 8

- (1) In terms of regulation 33(1)(h) of the Regulations, forms PMR 1, PMR 2, PMR 3, PMR 4, PMR 5, PMR 6, PMR 7 and PMR 8 promulgated by Government Notice No. R.387 published on pages 25 to 32 (inclusive) of *Government Gazette* No. 30942 DATED 04 April 2008, are hereby substituted by forms PMR 1, PMR 2, PMR 3, PMR 4, PMR 5, PMR 6, PMR 7 and PMR 8 (respectively) contained in the Annexure to this Schedule.
- (2) The revised format of forms PMR 1, PMR 2, PMR 3, PMR 4, PMR 5, PMR 6, PMR 7 and PMR 8 as contained in the Annexure to this schedule are affective[*sic*] from 01 October 2014, and consequently forms PMR 1, PMR 2, PMR 3, PMR 4, PMR 5, PMR 6, PMR 7 and PMR 8 promulgated by Government Notice No. R.387 published on pages 25 to 32 (inclusive) of *Government Gazette* No. 30942 dated 04 April 2008, are hereby repealed as of 01 October 2014.

(Regulation 35 inserted by regulation 5 of Government Notice R737 in Government Gazette 38014 dated 22 September 2014)

Annexure A

Prescribed fees for services rendered by the Regulator.

The following fees are payable to the Regulator in respect of:-

- a. A refining licence issued under section 7(1), read with sections 7(3) and 7(7) of the Act:
 - i. Application fee: R20 000 per issue; or
 - ii. Renewal: R20 000 per issue.
- b. A precious metal beneficiation licence, issued under section 8(1), read with sections 8(3) and 8(8)(a) of the Act:
 - i. Application fee: R5 000; or
 - ii. Renewal fee: R5 000
- c. A jeweler's permit issued under section 9(1), read with sections 9(3) and 9(6)(a) of the Act and with Regulation 25:
 - i. Application fee: R500;
 - ii. Renewal fee: R500; or
 - iii. An endorsement on a jeweler's permit under Regulation 25: R500;
- d. An import permit issued under section 10(2) of the Act: R1 000;
- e. The endorsement on a licence of the particulars of any new or additional premises in terms of -

Section 7(6) of the Act: R5 000 or
Section 8(7) of the Act: R 1 000;
- f. An endorsement, other than an endorsement on a jeweler's permit as contemplated in regulation 25, a licence, permit, approval or other authorization: R 500;
- g. A certificate contemplated in section 4(1)(d) or 5(1)(d) of the Act: Application fee: R 1000;
- h. A special permit contemplated in section 4(1)(e) or 5(1)(e) of the Act: R5 000; and

- i. The lodgment of an appeal against a decision taken by the Regulator in terms of section 7(1), 8(1) or 9(1) of the Act, as contemplated in regulation 34: R 500.

(Annexure A amended by regulation 12 of Government Notice R387 in Government Gazette 30942 dated 4 April 2008)

(Annexure A substituted by regulation 6 of Government Notice R737 in Government Gazette 38014 dated 22 September 2014)

Annexure B

1. Transitional arrangements

Any person who at the commencement of the Act undertakes any activity for which a licence, permit or approval is required in terms of the Act, but for which activity no authorization was required under the Mining Rights Act, 1967 (Act 20 of 1967), may continue with that activity for a period of 60 days after the commencement of the Act or until any application for a licence, permit or approval that has been lodged under the Act within such 60 days has been processed to finality.

2. Table 1

- (a) Mass, size and purity; general appearance and finish; required identifying marks; registration numbers; and the mode of manufacture of minted bars shall be as set out in the Table below:

Mode of manufacture	A minted bar is made from a precious metal blank (bar) that has been stamped/punched out to the required dimensions from a flat strip of precious metal with a purity of at least 99,99%. The punched out bar is then minted in a purpose-designed minting press.
Mass	10, 50, or 100 grams
Dimensions	Dimensions of minted bars will be published by notice in the <i>Gazette</i> .
Precious metal content	≥ 99,99% purity (≥ 999,9 fineness)
Required identifying marks	<p>The following identifying marks must be stamped on the minted bar:</p> <ul style="list-style-type: none"> (i) A hallmark certifying the above-mentioned purity; (ii) a dated letter of the year of manufacture (letter starting alphabetically with "A" for 2006); and (iii) a registered trademark of the approved manufacturer.

Alphanumerical registration number	A unique registration number (including the initials of the approved manufacturer and a six-digit serial number) must be stamped on the minted bar.
General appearance and finish	A minted bar should appear polished, i.e. possess a smooth finish and have no cavities.

(b)

Denominations of precious metal bars	Standard mass (gram)	Standard fineness based on minimum mass
Gold-minted bar	10 g	999,9
Gold-minted bar	50 g	999,9
Gold-minted bar	100 g	999,9
Platinum	1 Troy ounce	999,5
Palladium bar	1 Troy ounce	999,5

(Numbering of Table 1(b) inserted by regulation 13 of Government Notice R387 in Government Gazette 30942 dated 4 April 2008)

3. Table 2

CARAT GOLD SCALE

Carat	Minimum purity of gold expressed as a percentage	Minimum fineness (gold content expressed in parts per 1 000)
9	37,5%	375
14	58,5%	585
18	75,0%	750
22	91,6%	916
24	99,5%	995

4. Table 3

PLATINUM AND PALLADIUM SCALE

Minimum purity of platinum/palladium content expressed as a percentage	Minimum fineness of platinum/palladium content expressed in parts per 1 000
85%	850
90%	900
95%	950
99,9%	999

Annexure C

Form PMR

Application No: _____

South African Diamonds and Precious Metals Regulator
South African Diamond Centre, Cnr Main and Phillip Streets,
Johannesburg. PO Box 16001, Doornfontein, 2028

APPLICATION FOR REFINING LICENCE

[In terms of section 7(1) of the Precious Metals Act, 2005 (Act 37 of 2005)]

Instructions:

1. For any enquiries, contact the office of the Regulator during office hours.
2. Complete the form in block letters and in black pen.
3. Where options are given mark the appropriate block.
4. Complete the form in English and do not use abbreviations.
5. Although the application forms are made available in electronic format, only a signed original hard copy shall be acceptable.
6. Ensure that all the required documentation accompanies the application.
7. The application must be submitted to the operational business premises of the Regulator.

Part A: Particulars of applicant

1. (a) In the case of a natural person, please provide the following:

(i) Surname:

(ii) First name(s):

(iii) Identity number:

*(A certified copy of the identity document must be attached.)

- (iv) Has the applicant ever been convicted of any criminal offence in terms of the Act or any other law? (Yes/No) If Yes, furnish particulars on a separate sheet of paper.

- (b) In the case of a person other than a natural person, please indicate:

CC. _____

Partnership/Joint venture _____

Prepared by:

Co. _____ Other (specify) _____

(i) Name of company, close corporation, partnership or joint venture:

(ii) Registration number of Co. or CC:

(iii) Full names and identity number of managing director or member:

(iv) Particulars of interest held (%) in the juristic person and name of the holder of the controlling interest:

(v) An indication of the metals to be refined:

(vi) Source from which precious metals will be obtained:

(vii) Period for which a refining licence is required:

(viii) Description of applicant's technical ability:

(ix) Details of applicant's financial status:

(x) Details of the target market and market requirements:

*(In the case of a company or close corporation, a certified copy of a certificate of incorporation must be attached.)

PART B: CORRESPONDENCE ADDRESS FOR THIS APPLICATION

2. Business address:

- (i) Building name:

- (ii) Building number:

- (iii) Street number:

- (iv) Street name:

- (v) Suburb:

- (vi) Town/City:

- (vii) Postal code:

- (viii) Province:

- (ix) Country:

- (x) Telephone number:

- (xi) Fax No:

- (xii) Cellphone No:

- (xiii) Email address:

(b) Relevant postal address:

- (i) Postal address:

- (ii) Town/City:

- (iii) Postal code:

(Attach documentary proof of the registered business premises.)

THE APPLICATION MUST BE ACCOMPANIED BY THE FOLLOWING:

1. A certified copy of the applicant's identity document, if applicable.
2. A certified copy of the certificate of incorporation and the articles of association or founding statement, if applicable.
3. A copy of the relevant resolution, if acting in a representative capacity.
4. Documentary proof of the applicant's technical ability.
5. Documentary proof of the applicant's financial status or access to appropriate financial assistance.
6. The applicant's business plan, inclusive of measures to comply with the broad-based socio-economic empowerment charter developed in terms of section 100 of the Mineral and Petroleum Resources Development Act, 2002 (Act 28 of 2002).
7. Documentary proof of the applicant's registered business premises.
8. Documentary proof that the applicant has complied with the requirements of the National Environmental Management Act, 1998 (Act 107 of 1998).
9. A tax clearance certificate.
10. A police clearance certificate.
11. A non-refundable prescribed application fee.

PART C: DECLARATION

I, the applicant _____, hereby declare that the contents of this application are true and correct.

Capacity: _____

Signed at _____ on the _____ day of _____.

SIGNATURE OF APPLICANT/REPRESENTATIVE (IF APPLICABLE)

Prepared by:

FORM PMR(i)

Application number: _____

LICENCE NUMBER: _____

South African Diamonds and Precious Metals Regulator
 South African Diamond Centre, Cnr Main and Phillip Streets,
 Johannesburg. PO Box 16001, Doornfontein, 2028

APPLICATION FOR RENEWAL OF A REFINING LICENCE

[In terms of section 7(7) of the Precious Metals Act, 2005 (Act 37 of 2005)]

Instructions:

1. For any enquiries, contact the office of the Regulator during office hours.
2. Complete the form in block letters and in black pen.
3. Where options are given mark the appropriate block.
4. Complete the form in English and do not use abbreviations.
5. Although the application forms are made available in electronic format, only a signed original hard copy shall be acceptable.
6. Ensure that all the required documentation accompanies the application.
7. The application must be submitted to the operational business premises of the Regulator.

Part A: Particulars of applicant

1. (a) In the case of a natural person, please provide the following:

(i) Surname:

(ii) First name(s):

(iii) Identity number:

*(A certified copy of the applicant's identity document must be attached.)

- (iv) Has the applicant ever been convicted of any criminal offence in terms of the Act or any other Act? (Yes/No) If Yes, furnish particulars on a separate sheet of paper.

- (b) In the case of a person other than a natural person, please indicate:

CC. _____

Partnership/Joint venture _____

Prepared by:

Co. _____ Other (specify) _____

(i) Name of company, close corporation, partnership or joint venture:

(ii) Registration number of Co. or CC:

(iii) Full names and identity number of managing director or member:

(iv) Particulars of interest held (%) in the juristic person and name of the holder of the controlling interest:

(v) An indication of the metals to be refined:

(vi) Source from which precious metals will be obtained:

(vii) Period for which renewal is required:

(viii) Reasons why renewal is required:

*(In the case of a company or close corporation, a certified copy of the certificate of incorporation must be attached.)

PART B: CORRESPONDENCE ADDRESS FOR THIS APPLICATION

2. Business address:

- (i) Building name: _____
- (ii) Building number: _____
- (iii) Street number: _____
- (iv) Street name: _____
- (v) Suburb: _____
- (vi) Town/City: _____
- (vii) Postal code: _____
- (viii) Province: _____
- (ix) Country: _____
- (x) Telephone number: _____
- (xi) Fax No: _____
- (xii) Cellphone No: _____
- (xiii) Email address: _____

(b) Relevant postal address:

- (i) Postal address: _____
- (ii) Town/City: _____
- (iii) Postal code: _____

(Attach documentary proof of the registered business premises.)

THE APPLICATION MUST BE ACCOMPANIED BY THE FOLLOWING:

1. A certified copy of the applicant's identity document, if applicable.
2. Certified copies of the certificate of incorporation and articles of association or founding statement, if applicable.
3. A copy of the relevant resolution, if acting in a representative capacity.
4. Documentary proof of the applicant's registered business premises.

Prepared by:

5. Documentary proof of the applicant's technical ability.
6. Documentary proof of the applicant's financial status or access to appropriate financial assistance.
7. The applicant's business plan, inclusive of measures to comply with the broad-based socio-economic empowerment charter developed in terms of section 100 of the Mineral and Petroleum Resources Development Act, 2002 (Act 28 of 2002).
8. Documentary proof that the applicant has complied with the requirements of the National Environmental Management Act, 1998 (Act 107 of 1998).
9. A tax clearance certificate.
10. A police clearance certificate.
11. A non-refundable prescribed application fee.

PART C: DECLARATION

I, the applicant, _____, hereby declare that the contents of this application are true and correct.

Capacity: _____

Signed at _____ on the _____ day of _____.

SIGNATURE OF APPLICANT/REPRESENTATIVE (IF APPLICABLE)

FORM PMB

Application No: _____

South African Diamonds and Precious Metals Regulator
 South African Diamond Centre, Cnr Main and Phillip Streets,
 Johannesburg. PO Box 16001, Doornfontein, 2028

APPLICATION FOR PRECIOUS METALS BENEFICIATION LICENCE

[In terms of section 8(1) of the Precious Metals Act, 2005 (Act 37 of 2005)]

Instructions:

1. For any enquiries, contact the office of the Regulator during office hours.
2. Complete the form in block letters and in black pen.
3. Where options are given mark the appropriate block.
4. Complete the form in English and do not use abbreviations.
5. Although the application forms are made available in electronic format, only a signed original hard copy shall be acceptable.
6. Ensure that all the required documentation accompanies the application.
7. The application must be submitted to the operational business premises of the Regulator.

Part A: Particulars of applicant

1. In the case of a natural person, please provide the following:

(i) Surname: _____

(ii) First name(s): _____

(iii) Identity number: _____

*(A certified copy of the applicant's identity document must be attached.)

(iv) Has the applicant ever been convicted of any criminal offence in terms of the Act or any other law? (Yes/No) If yes, furnish particulars on a separate sheet of paper.

- (b) In the case of a person other than a natural person, please indicate:

CC. _____ Partnership/Joint venture _____

Co. _____ Other (specify) _____

(i) Name of company, close corporation, partnership or joint venture:

Prepared by:

(ii) Registration number of Co. or CC:

(iii) Full names and identity number of managing director or member:

(iv) Particulars of interest held (%) in the juristic person and name of the holder of the controlling interest:

(v) An indication of the metals to be beneficated:

(vi) Source from which precious metals will be obtained:

(vii) Period for which a licence is required:

(viii) Description of the applicant's technical ability:

(ix) Details of applicant's financial status:

- (x) Details of the target market and market requirements:

*(In the case of a company or a close corporation, a certified copy of the certificate of incorporation must be attached.)

PART B: CORRESPONDENCE ADDRESS FOR THIS APPLICATION

2. Business address:

- (i) Building name:

- (ii) Building number:

- (iii) Street number:

- (iv) Street name:

- (v) Suburb:

- (vi) Town/City:

- (vii) Postal code:

- (viii) Province:

- (ix) Country:

- (x) Telephone number:

- (xi) Fax No:

- (xii) Cellphone No:

- (xiii) Email address:

- (b) Relevant postal address:

- (i) Postal address:

- (ii) Town/City:

- (iii) Postal code:

(Attach documentary proof of the registered business premises.)

THE APPLICATION MUST BE ACCOMPANIED BY THE FOLLOWING:

1. A certified copy of the identity document, if applicable.

Prepared by:

2. A certified copy of the certificate of incorporation and articles of association or founding statement, if applicable.
3. A copy of the relevant resolution, if acting in a representative capacity.
4. Documentary proof of the applicant's registered business premises.
5. Documentary proof of the applicant's technical ability.
6. Documentary proof of the applicant's financial status or access to appropriate financial assistance.
7. The applicant's business plan, inclusive of measures to comply with the broad-based socio-economic empowerment charter developed in terms of section 100 of the Mineral and Petroleum Resources Development Act, 2002 (Act 28 of 2002).
8. A tax clearance certificate.
9. A police clearance certificate.
10. A non-refundable prescribed application fee.

PART C: DECLARATION

I, the applicant, _____, hereby declare that the contents of this application are true and correct.

Capacity: _____

Signed at _____ on the _____ day of _____.

SIGNATURE OF APPLICANT/REPRESENTATIVE (IF APPLICABLE)

FORM PMB (i)

Application number: _____

Licence number: _____

South African Diamond and Precious Metals Regulator
South African Diamond Centre, Cnr Main and Phillip Streets,
Johannesburg. PO Box 16001, Doornfontein, 2028

APPLICATION FOR RENEWAL OF PRECIOUS METAL BENEFICIATION LICENCE

[In terms of section 8(8) of the Precious Metals Act, 2005 (Act 37 of 2005)]

Instructions:

1. For any enquiries, contact the office of the Regulator during office hours.
2. Complete the form in block letters and in black pen.
3. Where options are given mark the appropriate block.
4. Complete the form in English and do not use abbreviations.
5. Although the application forms are made available in electronic format, only a signed original hard copy shall be acceptable.
6. Ensure that all the required documentation accompanies the application.
7. The application must be submitted to the operational business premises of the Regulator.

Part A: Particular of applicant

1. (a) In the case of a natural person, please provide the following:

(i) Surname:

(ii) First name(s):

(iii) Identity number:

(A certified copy of the identity document must be attached.)

- (iv) Has the applicant ever been convicted of any criminal offence in terms off the Act? (Yes/No)
If yes, furnish particulars on a separate sheet of paper.

- (b) In the case of a person other than a natural person, please indicate:

Prepared by:

CC. _____ Partnership/Joint venture _____

Co. _____ Other (specify) _____

(i) Name of company, close corporation, partnership or joint venture:

(ii) Registration number of Co. or CC:

(iii) Name and identity number of managing director or member:

(iv) Particulars of interest held (%) in the juristic person and name of the holder of the controlling interest:

(v) An indication of the metals to be beneficiated:

(vi) Source from which precious metals will be obtained:

(vii) Period for which renewal is required:

(viii) Reasons why renewal is required:

- (i) Building name: _____
- (ii) Building number: _____
- (iii) Street number: _____
- (iv) Street name: _____
- (v) Suburb: _____
- (vi) Town/City: _____
- (vii) Postal code: _____
- (viii) Province: _____
- (ix) Country: _____
- (x) Telephone number: _____
- (xi) Fax No: _____
- (xii) Cellphone No: _____
- (xiii) Email address: _____

(b) Relevant postal address:

- (i) Postal address: _____

- (ii) Town/City: _____
- (iii) Postal code: _____

(Attach documentary proof of the registered business premises.)

THE APPLICATION MUST BE ACCOMPANIED BY THE FOLLOWING:

1. A certified copy of the applicant's identity document, if applicable.
2. A certified copy of the certificate of incorporation and articles of association or founding statement, if applicable.
3. A copy of the relevant resolution, if acting in a representative capacity.
4. Documentary proof of the applicant's registered business premises.
5. Documentary proof of the applicant's technical ability.
6. Documentary proof of the applicant's financial status or access to appropriate financial assistance.

7. The applicant's business plan, inclusive of measures to comply with the broad-based socio-economic empowerment charter developed in terms of section 100 of the Mineral and Petroleum Resources Development Act, 2002 (Act 28 of 2002).
8. A police clearance certificate.
9. A tax clearance certificate.
10. A non-refundable prescribed application fee.

PART C: DECLARATION

I, the applicant, _____, hereby declare that the contents of this application are true and correct.

Capacity: _____

Signed at _____ on the _____ day of _____.

SIGNATURE OF APPLICANT/REPRESENTATIVE (IF APPLICABLE)

FORM PMJ

Application number: _____

South African Diamond and Precious Metals Regulator
 South African Diamond Centre, Cnr Main and Phillip Streets,
 Johannesburg. PO Box 16001, Doornfontein, 2028

APPLICATION FOR JEWELLER'S PERMIT

[In terms of section 9(1) of the Precious Metals Act, 2005 (Act 37 of 2005)]

Instructions:

1. For any enquiries, contact the office of the Regulator during office hours.
2. Complete the form in block letters and in black pen.
3. Where options are given mark the appropriate block.
4. Complete the form in English and do not use abbreviations.
5. Although the application forms are made available in electronic format, only a signed original hard copy shall be acceptable.
6. Ensure that all the required documentation accompanies the application.
7. The application must be submitted to the operational business premises of the Regulator.

Part A: Particulars of applicant

1. (a) In the case of a natural person, please provide the following:

(i) Surname: _____

(ii) First name(s): _____

(iii) Identity number: _____

*(A certified copy of the applicant's identity document must be attached.)

- (iv) Has the applicant ever been convicted of a criminal offence in terms of the Act or any other law? (Yes/No) If yes, furnish particulars on a separate sheet of paper.

- (b) In the case of a person other than a natural person, please indicate:

CC. _____ Partnership/Joint venture _____

Co. _____ Other (specify) _____

Prepared by:

- (i) Name of company, close corporation, partnership or joint venture:

- (ii) Registration number of Co. or CC:

- (iii) Full names of managing director or member (if applicable):

- (iv) Particulars of interest held (%) in the juristic person and name of the holder of the controlling interest:

- (v) An indication of the metals/alloys/solders to be manufactured into jewellery:

- (vi) Source from which metals/alloys/solders will be obtained:

- (vii) Description of the applicant's manufacturing ability:

*(In the case of a company or close corporation, a certified copy of the certificate of incorporation must be attached.)

PART B: CORRESPONDENCE ADDRESS FOR THIS APPLICATION
--

2. Business address:

Prepared by:

- (i) Building name: _____
- (ii) Building number: _____
- (iii) Street number: _____
- (iv) Street name: _____
- (v) Suburb: _____
- (vi) Town/City: _____
- (vii) Postal code: _____
- (viii) Province: _____
- (ix) Country: _____
- (x) Telephone number: _____
- (xi) Fax No: _____
- (xii) Cellphone No: _____
- (xiii) Email address: _____

(b) Relevant postal address:

- (i) Postal address: _____

- (ii) Town/City: _____
- (iii) Postal code: _____

(Attach documentary proof of the registered business premises.)

THE APPLICATION MUST BE ACCOMPANIED BY THE FOLLOWING:

1. A certified copy of the applicant's identity document, if applicable.
2. A certified copy of the certificate of incorporation and articles of association or founding statement, if applicable.
3. A copy of the relevant resolution, if acting in a representative capacity.
4. A curriculum vitae or brief description of the applicant's manufacturing ability.
5. A description of the intended business activities with an indication of the broad-based socio-economic empowerment charter developed in terms of section 100 of the Mineral and Petroleum Resources Development Act, 2002 (Act 28 of 2002), where applicable.
6. Documentary proof of the applicant's registered business premises.
7. A non-refundable prescribed application fee.

PART C: DECLARATION

I, the applicant, _____, hereby declare that the contents of this application are true and correct.

Capacity: _____

Signed at _____ on the _____ day of _____ .

SIGNATURE OF APPLICANT/REPRESENTATIVE (IF APPLICABLE)

FORM PMJ(i)

Permit number: _____

South African Diamond and Precious Metals Regulator
 South African Diamond Centre, Cnr Main and Phillip Streets,
 Johannesburg. PO Box 16001, Doornfontein, 2028

APPLICATION FOR RENEWAL OF JEWELLER'S PERMIT

[In terms of section 9(6) of the Precious Metals Act, 2005 (Act 37 of 2005)]

Instructions:

1. For any enquiries, contact the office of the Regulator during office hours.
2. Complete the form in block letters and in black pen.
3. Where options are given mark the appropriate block.
4. Complete the form in English and do not use abbreviations.
5. Although the application forms are made available in electronic format, only a signed original hard copy shall be acceptable.
6. Ensure that all the required documentation accompanies the application.
7. The application must be submitted to the operational business premises of the Regulator.

Part A: Particulars of the applicant

1. In the case of a natural person, please provide the following:

(i) Surname: _____

(ii) First name(s): _____

(iii) Identity number: _____

*(A certified copy of the identity document must be attached.)

(iv) Has the applicant ever been convicted of a criminal offence in terms of the Act or any other law? (Yes/No) If yes, furnish particulars on a separate sheet of paper.

- (b) In the case of a person other than a natural person, please indicate:

CC _____ Partnership/Joint venture _____

Co. _____ Other (specify) _____

(i) Name of company, close corporation, partnership or joint venture:

Prepared by:

(ii) Registration number of Co. or CC:

(iii) Full names and identity number of managing director or member (if applicable):

(iv) An indication of the metals/alloys/solders to be manufactured to jewellery:

(v) Source from which metals/alloys/solders will be obtained:

(vi) Reasons why renewal is required:

(vii) Description of the applicant's manufacturing ability:

*(In the case of a company or close corporation, a certified copy of a certificate of incorporation must be attached.)

PART B: CORRESPONDENCE ADDRESS FOR THIS APPLICATION
--

2. Business address:

(i) Building name:

(ii) Building number:

Prepared by:

- (iii) Street number: _____
- (iv) Street name: _____
- (v) Suburb: _____
- (vi) Town/City: _____
- (vii) Postal code: _____
- (viii) Province: _____
- (ix) Country: _____
- (x) Telephone number: _____
- (xi) Fax No: _____
- (xii) Cellphone No: _____
- (xiii) Email address: _____

(b) Relevant postal address:

- (i) Postal address: _____
- (ii) Postal code: _____

(Attach documentary proof of the registered business premises.)

THE APPLICATION MUST BE ACCOMPANIED BY THE FOLLOWING:

1. A certified copy of the applicant's identity document, if applicable.
2. A certified copy of the certificate of incorporation and articles of association or founding statement, if applicable.
3. The applicant's curriculum vitae or a brief description of the applicant's manufacturing ability.
4. A copy of the relevant resolution, if acting in a representative capacity.
5. Documentary proof of the applicant's registered business premises.
6. A description of the applicant's intended business activities, together with an indication of measures taken to comply with the broad-based socio-economic empowerment charter developed in terms of section 100 of the Mineral and Petroleum Resources Development Act (Act 28 of 2002), where applicable.
7. A non-refundable prescribed application fee.

PART C: DECLARATION

Prepared by:

I, the applicant, _____, hereby declare that the contents of this application are true and correct.

Capacity: _____

Signed at _____ on the _____ day of _____.

SIGNATURE OF APPLICANT/REPRESENTATIVE (IF APPLICABLE)

FORM PMC

Application No: _____

South African Diamond and Precious Metals Regulator
 South African Diamond Centre, Cnr Main and Phillip Streets,
 Johannesburg. PO Box 16001, Doornfontein, 2028

APPLICATION FOR CERTIFICATE

[In terms of sections 4(1)(d) or 5(1)(d) of the Precious Metals Act, 2005 (Act 37 of 2005)]

Instructions:

1. For any enquiries, contact the office of the Regulator during office hours.
2. Complete the form in block letters and in black pen.
3. Where options are given mark the appropriate block.
4. Complete the form in English and do not use abbreviations.
5. Although the application forms are made available in electronic format, only a signed original hard copy shall be acceptable.
6. Ensure that all the required documentation accompanies the application.
7. The application must be submitted to the operational business premises of the Regulator.

Part A: Particular of applicant

1. In the case of a natural person, please provide the following:

(i) Surname:

(ii) First name(s):

(iii) Identity number:

*(A certified copy of the applicant's identity document must be attached.)

(iv) Has the applicant ever been convicted of any criminal offence in terms of the Act or any other Act? (Yes/ No) If yes, furnish particulars on a separate sheet of paper.

(b) In the case of a person other than a natural person, please indicate:

CC _____ Partnership/Joint venture _____

Prepared by:

Co. _____ Other (specify) _____

(i) Name of company, close corporation, partnership or joint venture:

(ii) Registration number of Co. or CC:

(iii) Full names and identity number of managing director or member:

(iv) Particulars of interest held (%) in the juristic person and name of the holder of the controlling interest:

(v) An indication of the precious metals to be acquired or disposed of:

(vi) Source from which precious metals will be obtained:

(vii) Period for which a certificate is required:

(viii) Details of applicant's financial status:

- (ix) Details of the target market and market requirements:

*(In case of a company or close corporation, a certified copy of a certificate of incorporation must be attached.)

PART B: CORRESPONDENCE ADDRESS FOR THIS APPLICATION

2. (a) Business address:

(i)	Building name:	<hr/>
(ii)	Building number:	<hr/>
(iii)	Street number:	<hr/>
(iv)	Street name:	<hr/>
(v)	Suburb:	<hr/>
(vi)	Town/City:	<hr/>
(vii)	Postal code:	<hr/>
(viii)	Province:	<hr/>
(ix)	Country:	<hr/>
(x)	Telephone number:	<hr/>
(xi)	Fax No:	<hr/>
(xii)	Cellphone No:	<hr/>
(xiii)	Email address:	<hr/>

- (b) Relevant postal address:

(i)	Postal address:	<hr/>
		<hr/>
(ii)	Town/City:	<hr/>
(iii)	Postal code:	<hr/>

(Attach documentary proof of the registered business premises.)

THE APPLICATION MUST BE ACCOMPANIED BY THE FOLLOWING:

1. A certified copy of the applicant's identity document, if applicable.
2. A certified copy of the certificate of incorporation and articles of association or founding statement, if applicable.

Prepared by:

3. A copy of the relevant resolution, if acting in a representative capacity.
4. Documentary proof of the applicant's registered business premises.
5. Documentary proof of the applicant's financial ability or access to appropriate financial assistance.
6. The applicant's business plan, inclusive of measures to comply with the broad-based socio-economic empowerment charter developed in terms of section 100 of the Mineral and Petroleum Resources Development Act, 2002 (Act 28 of 2002).
7. A police clearance certificate.
8. A tax clearance certificate.
9. A non-refundable prescribed application fee.

PART C: DECLARATION

I, the applicant, _____, hereby declare that the contents of this application are true and correct.

Capacity: _____

Signed at _____ on the _____ day of _____.

SIGNATURE OF APPLICANT/REPRESENTATIVE (IF APPLICABLE)

FORM PMS

Application No: _____

South African Diamond and Precious Metals Regulator
 South African Diamond Centre, Cnr Main and Phillip Streets,
 Johannesburg. PO Box 16001, Doornfontein, 2028

APPLICATION FOR A SPECIAL PERMIT AS CONTEMPLATED IN SECTION 4(1)(e) OR SECTION 5(1)(e)

[In terms of section 4(1)(e) or 5(1)(d) of the Precious Metals Act, 2005 (Act 37 of 2005)]

Instructions:

1. For any enquiries, contact the office of the Regulator during office hours.
2. Complete the form in block letters and in black pen.
3. Where options are given mark the appropriate block.
4. Complete the form in English and do not use abbreviations.
5. Although the application forms are made available in electronic format, only a signed original hard copy shall be acceptable.
6. Ensure that all the required documentation accompanies the application.
7. The application must be submitted to the operational business premises of the Regulator.

Part A: Particulars of applicant

1. In the case of a natural person, please provide the following:

(i) Surname: _____

(ii) First name(s): _____

(iii) Identity number: _____

*(A certified copy of the applicant's identity document must be attached.)

(iv) Has the applicant ever been convicted of any criminal offence in terms of the Act or any other law? (Yes/No) If yes, furnish particulars on a separate sheet of paper.

- (b) In the case of a person other than a natural person, please indicate:

CC _____ Partnership/Joint venture _____

Co. _____ Other (specify) _____

Prepared by:

- (i) Name of company, close corporation, partnership or joint venture:

- (ii) Registration number of Co. or CC:

- (iii) Full names and identity number of managing director or member:

- (iv) Particulars of interest held (%) in the juristic person and name of the holder of the controlling interest:

- (v) An indication of the precious metals to be acquired:

- (vi) The purpose for which the precious metals will be used:

- (vii) Source from which precious metals will be obtained or how they will be disposed of (indicate place or person):

- (viii) Period for which a special permit is required:

- (ix) Description of the applicant's technical ability:

(x) Details of applicant's financial status:

(x) Details of the target market and market requirements:

*(In the case of a company or close corporation, a certified copy of a certificate of incorporation must be attached.)

PART B: CORRESPONDENCE ADDRESS FOR THIS APPLICATION

2. (a) Business address:

(i) Building name:	<hr/>
(ii) Building number:	<hr/>
(iii) Street number:	<hr/>
(iv) Street name:	<hr/>
	<hr/>
(v) Suburb:	<hr/>
(vi) Town/City:	<hr/>
(vii) Postal code:	<hr/>
(viii) Province:	<hr/>
(ix) Country:	<hr/>
(x) Telephone number:	<hr/>
(xi) Fax No:	<hr/>
(xii) Cellphone No:	<hr/>
(xiii) Email address:	<hr/>

(b) Relevant postal address:

(i) Postal address:	<hr/>
	<hr/>
(ii) Town/City:	<hr/>

Prepared by:

(iii) Postal code: _____

(Attach documentary proof of the registered business premises.)

THE APPLICATION MUST BE ACCOMPANIED BY THE FOLLOWING:

1. A certified copy of the applicant's identity document, if applicable.
2. A certified copy of the certificate of incorporation and articles of association or founding statement, if applicable.
3. A copy of the relevant resolution, if acting in a representative capacity.
4. Documentary proof of the applicant's registered business premises.
5. Documentary proof of the applicant's technical ability.
6. Documentary proof of the applicant's financial status or access to appropriate financial assistance.
7. The applicant's business plan, inclusive of measures to comply with the broad-based socio-economic empowerment charter developed in terms of section 100 of the Mineral and Petroleum Resources Development Act, 2002 (Act No. 28 of 2002).
8. A tax clearance certificate.
9. A police clearance certificate.
10. A non-refundable prescribed application fee. _____

PART C: DECLARATION

I, the applicant, _____, hereby declare that the contents of this application are true and correct.

Capacity: _____

Signed at _____ on the _____ day of _____.

SIGNATURE OF APPLICANT/REPRESENTATIVE (IF APPLICABLE)

FORM PMI

PERMIT NUMBER: _____

South African Diamond and Precious Metals Regulator
 South African Diamond Centre, Cnr Main and Phillip Streets,
 Johannesburg. PO Box 16001, Doornfontein, 2028

APPLICATION FOR A PERMIT TO IMPORT

[In terms of section 10(2) of the Precious Metals Act, 2005 (Act 37 of 2005)]

Instructions:

1. For any enquiries, contact the office of the Regulator during office hours.
2. Complete the form in block letters and in black pen.
3. Where options are given mark the appropriate block.
4. Complete the form in English and do not use abbreviations.
5. Although the application forms are made available in electronic format, only a signed original hard copy shall be acceptable.
6. Ensure that all the required documentation accompanies the application.
7. The application must be submitted to the operational business premises of the Regulator.

Part A: Particulars of applicant

1. In the case of a natural person, please provide the following:

(i) Surname: _____

(ii) First name(s): _____

(iii) Identity number: _____

*(A certified copy of the applicant's identity document must be attached.)

(iv) Has the applicant ever been convicted of a criminal offence in terms of the Act or any other law? (Yes/No). If yes, furnish particulars on a separate sheet of paper.

- (b) In the case of a person other than a natural person, please indicate:

CC: _____ Partnership/Joint venture: _____

Co: _____ Other (specify): _____

(i) Name of company, close corporation, partnership or joint venture:

Prepared by:

(ii) Registration number of Co. or CC:

(iii) Full name and identity number of managing director or member:

(iv) Full names and identity number of every director or member:

(v) Particulars of interest held (%) in the juristic person and name of the holder of the controlling interest:

(vi) If known to the applicant at the time of lodging the application, the quantity, fineness, form and value of the precious metals concerned:

(vii) Period during which such precious metals may be imported:

(viii) If known to the applicant at the time of lodging the application, the port(s) from which such precious metals may be imported:

(ix) If known to the applicant at the time of lodging the application, the country or countries from which such precious metals may be imported:

(x) If known to the applicant at the time of lodging the application, the purpose of the imports:

*(In the case of a company or close corporation, a certified copy of the certificate of incorporation must be attached.)

PART B: CORRESPONDENCE ADDRESS FOR THIS APPLICATION

3. (a) Business address:

- (i) Building name: _____
- (ii) Building number: _____
- (iii) Street number: _____
- (iv) Street name: _____
- (v) Suburb: _____
- (vi) Town/City: _____
- (vii) Postal code: _____
- (viii) Province: _____
- (ix) Country: _____
- (x) Telephone number: _____
- (xi) Fax No: _____
- (xii) Cellphone No: _____
- (xiii) Email address: _____

(b) Relevant postal address:

- (i) Postal address: _____
- (ii) Town/City: _____
- (iii) Postal code: _____

(Attach documentary proof of the applicant's registered business premises.)

THE APPLICATION MUST BE ACCOMPANIED BY THE FOLLOWING:

1. A certified copy of the applicant's identity document, if applicable.
2. A certified copy of the certificate of incorporation and articles of association or founding statement, if applicable.
3. A copy of the relevant resolution, if acting in a representative capacity.
4. Documentary proof of the applicant's business premises.

Prepared by:

5. A police clearance certificate.
6. A non-refundable prescribed application fee.

PART C: DECLARATION

I, the applicant, _____, hereby declare that the contents of this application are true and correct.

Capacity: _____

Signed at _____ on the _____ day of _____.

SIGNATURE OF APPLICANT/REPRESENTATIVE (IF APPLICABLE)

FORM PEA

Application No: _____

South African Diamond and Precious Metals Regulator
 South African Diamond Centre, Cnr Main and Phillip Streets,
 Johannesburg. PO Box 16001, Doornfontein, 2028

APPLICATION FOR EXPORT APPROVAL

[In terms of section 12 of the Precious Metals Act, 2005 (Act 37 of 2005)]

Instructions:

1. For any enquiries, contact the office of the Regulator during office hours.
2. Complete the form in block letters and in black pen.
3. Where options are given mark the appropriate block.
4. Complete the form in English and do not use abbreviations.
5. Although the application forms are made available in electronic format, only a signed original hard copy shall be acceptable.
6. Ensure that all the required documentation accompanies the application.
7. The application must be submitted to the operational business premises of the Regulator.

Part A: Particulars of applicant

1. In the case of a natural person, please provide the following:

(i) Surname: _____

(ii) First name(s): _____

(iii) Identity number: _____

*(A certified copy of the applicant's identity document must be attached.)

(iv) Has the applicant ever been convicted of a criminal offence in terms of the Act or any other law? (Yes/No) If yes, furnish particulars on a separate sheet of paper.

- (b) In the case of a person other than a natural person, please indicate:

CC _____ Partnership/Joint venture _____

Co. _____ Other (specify) _____

(i) Name of company, close corporation, partnership or joint venture:

Prepared by:

-
- (ii) Registration number of Co. or CC: _____
- (iii) Full name and identity number of managing director or member:

- (iv) Full names and identity number of every director or member:

- (v) Particulars of interest held (%) in the juristic person and name of the holder of the controlling interest:

- (vi) If known to the applicant at the time of lodging the application, the quantity, fineness, form and value of the precious metals concerned:

- (vii) Period during which such precious metals may be exported:

- (viii) If known to the applicant at the time of lodging the application, the port(s) through which such precious metals may be exported:

- (ix) If known to the applicant at the time of lodging the application, the country or countries to which such precious metals may be exported:

- (x) If known to the applicant at the time of lodging the application, the purpose of the exports:

*(In the case of a company or close corporation, a certified copy of the certificate of incorporation must be attached.)

PART B: CORRESPONDENCE ADDRESS FOR THIS APPLICATION

3. (a) Business address:

- (i) Building name: _____
- (ii) Building number: _____
- (iii) Street number: _____
- (iv) Street name: _____
- (v) Suburb: _____
- (vi) Town/City: _____
- (vii) Postal code: _____
- (viii) Province: _____
- (ix) Country: _____
- (x) Telephone No: _____
- (xi) Fax No: _____
- (xii) Cellphone No: _____
- (xiii) Email address: _____

(b) Relevant postal address:

- (i) Postal address: _____
- (ii) Town/City: _____
- (iii) Postal code: _____

(Attach documentary proof of the applicant's registered business premises.)

THE APPLICATION MUST BE ACCOMPANIED BY THE FOLLOWING:

1. A certified copy of the applicant's identity document, if applicable.
2. A certified copy of the certificate of incorporation and articles of association or founding statement, if applicable.
3. A copy of the relevant resolution, if acting in a representative capacity
4. A police clearance certificate.

Prepared by:

5. A non-refundable prescribed application fee.

PART C: DECLARATION

I, the applicant, _____, hereby declare that the contents of this application are true and correct.

Capacity: _____

Signed at _____ on the _____ day of _____.

SIGNATURE OF APPLICANT/REPRESENTATIVE (IF APPLICABLE)

FORM PR

UNWROUGHT PRECIOUS METALS REGISTER

[In terms of section 15 of the Precious Metals Act, 2005 (Act 37 of 2005)]

(Form PR deleted by regulation 14 of Government Notice R387 in Government Gazette 30942 dated 4 April 2008)

Prepared by:

Form PM REG1

SOUTH AFRICAN DIAMOND AND PRECIOUS METALS REGULATOR

SA Diamond Centre, Cnr Main and Phillip Streets

Johannesburg. P. O. Box 16001, Doornfontein, 2028

REGISTRATION IN THE SA DIAMOND AND PRECIOUS METALS REGULATOR'S NATIONAL REGISTER

Instructions:

1. For any enquiries, contact the office of the Regulator during office hours.
2. Complete the form in block letters and in black pen.
3. Where options are given, mark the appropriate block.
4. Complete the form in English and do not use abbreviations.
5. Although the application forms are made available in electronic format, only a signed original hard copy shall be acceptable.
6. Ensure that all the required documentations accompany the application.
7. The application must be submitted to the business premises of the Regulator (give above).

PART A: PARTICULARS OF APPLICANT

(a) In the case of a natural person, please provide the following:

(i) Surname: _____

(ii) First name(s): _____

(iii) Identity number: _____

**(certified copy of the identity document must be attached.)*

(b) In the case of a person other than a natural person, please indicate:

CC. _____ Partnership/Joint venture _____

Co. _____ Other (specify) _____

(i) Name of company, close corporation, partnership or joint venture:

(ii) Registration number of Co. or CC: _____

Prepared by:

- (iii) Name and identity number of Managing Director, Member:

(Publisher's note – Numbering as published in the original Gazette)

- (v) Type of precious metal/s mined or worked with?

(in case of a company or close corporation, certified copy of a certificate of incorporation must be attached)

- (c) Please provide:

- (i) Mining right or mining permit number, or the number of the mining authorisation which continues in force in terms of the Mineral and Petroleum Resources Development Act, 2002 (Act 28 of 2002), as the case may be.

- (ii) A certified copy of the mining right, permit or other authorisation, as the case may be, contemplated in c(i).

PART B: CORRESPONDENCE ADDRESS FOR THIS REGISTRATION

2. (a) Business address:

- | | |
|------------------------|-------|
| (i) Building Name: | <hr/> |
| (ii) Building Number: | <hr/> |
| (iii) Street Number: | <hr/> |
| (iv) Street Name: | <hr/> |
| (v) Suburb/District: | <hr/> |
| (vi) Town/City: | <hr/> |
| (vii) Postal code: | <hr/> |
| (viii) Province: | <hr/> |
| (ix) Country: | <hr/> |
| (x) Telephone number: | <hr/> |
| (xi) Fax no.: | <hr/> |
| (xii) Cell phone no: | <hr/> |
| (xiii) E-mail address: | <hr/> |

- (b) Relevant postal address:

- (i) Postal Address: _____

(ii) Town/City: _____
(iii) Postal code: _____

(c) Primary Contact Person:

- (i) Full Name: _____
(ii) Title: _____
(iii) Work Contact Number: _____
(iv) email address: _____
(v) Mobile number: _____

PART C: DECLARATION

I, the applicant for registration _____ hereby declare that the contents of this application are true and correct.

Capacity: _____

Signed at _____ on the _____ day of _____.

SIGNATURE OF APPLICANT/REPRESENTATIVE (IF APPLICABLE)

(Form PM REG1 inserted by regulation 14 of Government Notice R387 in Government Gazette 30942 dated 4 April 2008)

Form MB1

SOUTH AFRICAN DIAMOND AND PRECIOUS METALS REGULATOR

SA Diamond Centre, Cnr Main and Phillip Streets

Johannesburg. P. O. Box 16001, Doornfontein, 2028

REGISTRATION AS AN APPROVED MANUFACTURER OF MINTED BARS

Instructions:

1. For any enquiries, contact the office of the Regulator during office hours.
2. Complete the form in block letters and in black pen.
3. Where options are given mark the appropriate block.
4. Complete the form in English and do not use abbreviations.
5. Although the application forms are made available in electronic format, only a signed original hard copy shall be acceptable.
6. Ensure that all the required documentations accompany the application
7. The application must be submitted to the business premises of the Regulator (given above).

PART A: PARTICULARS OF APPLICANT FOR REGISTRATION

1. (a) In case of a natural person, please provide the following:

(i) Surname: _____

(ii) First name(s) _____

(iii) Identity number: _____

**(certified copy of the identity document must be attached)*

- (b) In case of a person other than a natural person, please indicate:

CC. _____ Partnership/Joint venture _____

Co. _____ Other (specify) _____

- (i) Name of company, close corporation, partnership or joint venture:

- (ii) Registration number of Co. or CC: _____

- (iii) Name and identity number of Managing Director, Member:

Prepared by:

**(in case of a company or close corporation, certified copy of a certificate of incorporation must be attached)*

2. Specify the type of licence (refining licence or precious metal beneficiation licence) held (attach certified copy)

3. Licence number and the validity period (start and end date)

4. State the quantity of minted bars and mass designations you intend to manufacture in a year; *Note that the Regulator in consultation with National Treasury shall, as it may deem fit, limit the total amount per manufacturer to ensure that the aggregate total mass manufactured in the country does not exceed 500 000 ounces per annum.*

5. State the source of the precious metal blank/strip of at least 99,99% purity that will be stamped/punched out to the required dimensions and minted into minted bars (*State full name of supplier, licence number and contact details and contact person*)

6. Provide details of registered trade mark (that will be stamped on the minted bar, if approval is granted) and documentation to prove registration of registered trade mark.

7. Provide a copy of the form of the certificate (or equivalent, which is subject to approval) that will accompany the sale of each minted bar.

8. Provide details of the South African Bureau Standards (SABS) accredited hallmarking or quality management system (attach a certified copy of the certificate of accreditation as proof of the above; e.g, ISO 9001 or SANS 29:2004, if applicable) used in the manufacture of minted bars.

9. Provide a detailed business plan inclusive of broad-based socio-economic empowerment and other details to support the application including but not limited to:

- (1) accreditation from national or international organisations relating to manufacture of precious metal bars;
- (2) track record of the manufacture of high quality precious metal bars;
- (3) target market; or
- (4) quality assurance system relating to precious metal bars.

PART B: CORRESPONDENCE ADDRESS FOR THIS REGISTRATION

10. (a) Business address:

- (i) Building Name: _____
- (ii) Building Number: _____
- (iii) Street Number: _____
- (iv) Street Name: _____
- (v) Suburb/District: _____
- (vi) Town/City: _____
- (vii) Postal code: _____
- (viii) Province: _____
- (ix) Country: _____
- (x) Telephone number: _____
- (xi) Fax no.: _____
- (xii) Cell phone no: _____
- (xiii) E-mail address: _____

(b) Relevant postal address:

- (i) Postal Address: _____
- (ii) Town/City: _____
- (iii) Postal code: _____

(c) Primary Contact Person:

- (i) Full Name: _____
- (ii) Title: _____
- (iii) Work Contact Number: _____
- (iv) Email address: _____
- (v) Mobile number: _____

PART C: DECLARATION

11. I, the undersigned declare that –

- (1) the contents of this application are true and correct; and
- (2) minted bars to be manufactured, if approval is granted, will be in accordance with the Precious Metals Act, 2005 (Act 37 of 2005) and Regulations made under the Act.

Prepared by:

SIGNATURE: _____

DATE: _____

CAPACITY: _____

(Form MB1 inserted by regulation 14 of Government Notice R387 in Government Gazette 30942 dated 4 April 2008)

Form PMR 1

P. O. Box 16001, Doornfontein 2028

Tel (011) 223 7000; Fax (011) 334-8833; Email: PM_Registers@sadpmr.co.za ; Guidelines can be found at www.sadpmr.co.za

PRECIOUS METAL PRODUCER

Register of precious metal (won or recovered) disposed of, pursuant to section 15 of the Precious Metals Act, 2005 & Regulation 33 made under the Act

Quarter/Year :		I, the undersigned precious metal producer, solemnly declare that the transactions in this register are correct and complete	
Name of Producer:			
Mining Right/Permit Number:			
Physical address:			
Telephone number/s:			
Fax number:			
E-mail address:		Signed:	
Registration Number/Client code:		Date of declaration:	

Precious Metal (won or recovered) disposed of /sold/transferred (unwrought and semi-fabricated precious metal)					Details of buyer ² / Recipient	
Date	Metal	Nature of Precious Metal ¹ : Form & Purity	Fine mass/weight ³ (kg)	Price (if any) (R)	Name of Buyer ² / Recipient ²	Registration or Licence/permit/certificat number of Buyer / Recipient
		Totals for Quarter by metal:				

A true copy of this register for each quarter (Q) of a calendar year must be submitted to the Regulator by 15 April (for Q1), by 15 July (for Q2), by 15 October (for Q3), and by 15 January (for Q4)

1: Metal (gold, platinum, palladium, rhodium, iridium, osmium ruthenium), Form and Purity (e.g., bar of 99.9% purity)

2: Name of Licensee, permit/certificate holder. If exported, state so.

3. Fine mass/weight: the mass of the precious metal contained in the article, as determined by multiplying the gross weight of the article by its fineness or purity (in %).

(Form PMR 1 substituted by Government Notice R737 in Government Gazette 38014 dated 22 September 2014, with effect from 1 October 2014)

Prepared by:

SOUTH AFRICAN DIAMOND AND PRECIOUS METALS REGULATOR

251 Fox Street; Johannesburg, 2001, Entrance: Corner Main Street & Greene Street, Jewel City, Johannesburg

P. O. Box 16001, Doornfontein 2028

Tel (011) 223 7000; Fax (011) 334-8833; Email: PM_Registers@sadpmr.co.za ; Guidelines can be found at www.sadpmr.co.za

Form PMR 2

REFINING LICENSEE

Register of transactions pursuant to section 15 of the Precious Metals Act, 2005 and Regulations 6(2)(d), and 33

Quarter/Year:			
Name of Licensee:			
Licence Number:			
Physical address:			
Telephone number/s:			
Fax number:		I, the undersigned licensee, solemnly declare that the transactions in this register are correct and complete	
E-mail address:		Signed:	
Client code:		Date of declaration:	

Precious Metal Received/Bought (unwrought and semi-fabricated)									Precious Metal Disposed of /sold (unwrought and semi-fabricated)								
Date/ Month ¹	Seller /Supplier ²	Nature of Precious Metal ³	Fine mass ⁴ / Composition in (g or kg) ⁵					Price ⁶ (if any) (R)	Date / Month ¹	Buyer / Recipient ²	Nature of Precious Metal ³	Fine mass ⁴ / Composition in (g or kg) ⁵					Price ⁶ (R)
			Au	Pt	Pd	Rh	Ir					Au	Pt	Pd	Rh	Ir	
Totals for Quarter:																	
				Number of employees ⁷ during quarter:													

* A true copy of this register for each quarter (Q) of a calendar year must be submitted to the Regulator by 15 April (for Q1), by 15 July (for Q2), by 15 October (for Q3), and by 15 January (for Q4)

- Record date of unwrought metal transactions and record month of semi-fabricated metal transactions
- Name, address and license, permit, certificate or registration number of the seller/buyer. If metal is exported/imported please state so
- Form and gross mass in grams or kilograms of precious metal bought/received (e.g. 2g of 18 ct white gold wire, 3g of 9ct gold bar, 6g of scrap etc.)
- Fine mass/weight: the mass of the precious metal contained in the article/product, as determined by multiplying the gross weight of the article/product by its caratage divided by 24 or fineness divided by a thousand. e.g. for an 18 ct gold alloy weighing 1gram, the fine mass of gold in the alloy is calculated like this: $1g \times \frac{18}{24} = 0.75g$
- Delete whichever units that are not applicable (i.e. units not used)
- Price is the amount of money (if any) received during the transaction. Price is only recorded for unwrought precious metal transactions
- Average number of employees employed over the quarter

(Form PMR 2 substituted by Government Notice R737 in Government Gazette 38014 dated 22 September 2014, with effect from 1 October 2014)

Prepared by:

SOUTH AFRICAN DIAMOND AND PRECIOUS METALS REGULATOR

251 Fox Street; Johannesburg, 2001, Entrance: Corner Main Street & Greene Street, Jewel City, Johannesburg

P. O. Box 16001, Doornfontein 2028

Tel (011) 223 7000; Fax (011) 334-8833; Email: PM_Registers@sadpmr.co.za ; Guidelines can be found at www.sadpmr.co.za**Form PMR 3****PRECIOUS METAL BENEFICIATION LICENSEE****Register of transactions pursuant to section 15 of the Precious Metals Act, 2005 and Regulations 10(2)(c) and 33**

Quarter/Year ² :		
Name of Licensee:		
Licence Number:		
Physical address:		
Telephone number/s:		
Fax number:		
E-mail address:		
Client code:		

I, the undersigned licensee, solemnly declare that the transactions in this register are accurate and complete	
Signed:	
Date of declaration:	

Precious Metal Received/Bought (unwrought and semi-fabricated)				Articles Manufactured ⁵	Precious Metal Disposed of /sold (unwrought and semi-fabricated)										Metal refined/returned	
Month	Seller /Supplier ¹	Form of Precious Metal & Caratage ² / purity	Total mass (g or kg) ⁴	Mass & caratage of metal used for manufacturing and repairs	Month	Recipient / Refinery ¹	Nature of Precious Metal ⁶	Metal refined / recovered in fine mass ⁴ (% , g, or kg)					Yes (metal received)	No (cash received)		
								Au	Pt	Pd	Rh	Ir				
Totals for Quarter by metal:																
			Number of employees ⁸ (during Quarter):													

* A true copy of this register for each quarter (Q) of a calendar year must be submitted to the Regulator by 15 April (for Q1), by 15 July (for Q2), by 15 October (for Q3), and by 15 January (for Q4)

1. Name, address and license, permit, certificate or registration number of the seller /recipient/refinery. If metal is exported/imported please state so

2. Form of precious metal bought/received (e.g. 2g of 18 ct white gold wire, 3g of 9ct gold bar, 6g of scrap etc.)

3. Total mass of the specific type of metal bought in the month (e.g 20g (for all the 9ct gold alloy bought in Jan)) N.B Not fine mass

4. Delete whichever unit that are not applicable (i.e units not used)

5. List the type and number of articles manufactured in the month (e.g. 7, 18ct gold rings). The column does not have to correspond with entries on the left (precious metal bought) or right column (precious metals sold). Use additional pages of this form if required

6. The form of precious metals sold/disposed and the gross mass in grams or kilograms (e.g. 2g of 18ct white gold wire, 10g of scrap etc.)

7. Fine mass/weight: the mass of the precious metal contained in the article/product, as determined by multiplying the gross weight of the article/product by its caratage divided by 24 or fineness divided by a thousand. e.g. for an 18 ct gold alloy weighing 1gram , the fine mass of gold in the alloy is calculated like this: $1g \times \frac{18}{24} = 0.75g$

8. Average number of employees employed over the quarter

(Form PMR 3 substituted by Government Notice R737 in Government Gazette 38014 dated 22 September 2014, with effect from 1 October 2014)

SOUTH AFRICAN DIAMOND AND PRECIOUS METALS REGULATOR

251 Fox Street; Johannesburg, 2001, Entrance: Corner Main Street & Greene Street, Jewel City, Johannesburg
P. O. Box 16001, Doornfontein 2028

Tel (011) 223 7000; Fax (011) 334-8833; Email: PM_Registers@sadpmr.co.za ; Guidelines can be found at www.sadpmr.co.za

Form PMR 4

JEWELLER'S PERMIT HOLDER

Declaration Form pursuant to Regulations 14(2)(aA) and 33 made under the Precious Metals Act, 2005

H1 or H2 / Year:		I, the undersigned permit holder, solemnly declare that the information recorded herein is accurate and complete
Name of Permit Holder:		
Permit number:		
Physical address:		
Telephone number/s:		
Fax number:		
E-mail address:		
Client code		Signed:
		Date of declaration:

Precious Metal Received/Bought				Articles Manufactured ⁵	Precious Metal Disposed of /sold (unwrought and semi-fabricated)								Metal refined/returned	
Month	Seller /Supplier ¹	Form of Precious Metal & Caratage ² / purity	Total mass (g or kg) ³	Mass & caratage of metal used for manufacturing and repairs	Month	Recipient / Refinery ¹	Nature of Precious Metal ⁶	Metal refined / recovered in fine mass ⁴ (% , g, or kg)					Yes, metal received	No, cash received
								Au	Pt	Pd	Rh	Ir		
			Number of employees ⁸ (during half-year period):											

* A true copy of this document must be submitted to the Regulator no later than 15 July for the first half of every calendar year (H1) and no later than 15 January for the second half of every calendar year

1. Name, address and license, permit, certificate or registration number of the seller /recipient/refinery. If metal is exported/imported please state so
2. Form of precious metal bought/received (e.g. 2g of 18 ct white gold wire, 3g of 9ct gold bar, 6g of scrap etc.)
3. Total mass of the specific type of metal bought in the month (e.g. 20g (for all the 9ct gold alloy bought in Jan)) NB Not fine mass
4. Delete whichever unit that are not applicable (i.e. units not used)
5. List the type and number of articles manufactured in the month (e.g. 7, 18ct gold rings). The column does not have to correspond with entries on the left (precious metal bought) or right column (precious metals sold). Use additional pages of this form if required
6. The form of precious metals sold/disposed and the gross mass in grams or kilograms (e.g. 2g of 18ct white gold wire, 10g of scrap etc.)
7. Fine mass/weight: the mass of the precious metal contained in the article/product, as determined by multiplying the gross weight of the article/product by its caratage divided by 24 or fineness divided by a thousand. e.g.
for an 18 ct gold alloy weighing 1gram , the fine mass of gold in the alloy is calculated like this: $1g \times \frac{18}{24} = 0.75g$
8. Average number of employees employed during the half-year period

(Form PMR 4 substituted by Government Notice R737 in Government Gazette 38014 dated 22 September 2014, with effect from 1 October 2014)

SOUTH AFRICAN DIAMOND AND PRECIOUS METALS REGULATOR

251 Fox Street; Johannesburg, 2001, Entrance: Corner Main Street & Greene Street, Jewel City, Johannesburg
P. O. Box 16001, Doornfontein 2028
Tel (011) 223 7000; Fax (011) 334-8833; Email: PM_Registers@sadpmr.co.za ; Guidelines can be found at www.sadpmr.co.za

Form PMR 5

SPECIAL PERMIT HOLDER**Register of transactions pursuant to section 15 of the Precious Metals Act, 2005 and Regulations 20(c) and 33**

Quarter/Year:		
Name of permit holder:		
Permit Number:		
Physical address:		
Telephone number/s:		
Fax number:		
E-mail address:		
Client code:		

I, the undersigned permit holder, solemnly declare that the transactions in this register are correct and complete	
Signed:	
Date of declaration:	

Precious Metal Received/Bought (unwrought and semi-fabricated)								Articles Manufactured ⁷	Precious Metal Disposed of /sold (unwrought and semi-fabricated)								
Date/ Month ¹	Seller /Supplier ²	Nature of Precious Metal ³	Fine mass ⁴ Composition in (g or kg) ⁵						Date/ Month ¹	Buyer/ Recipient ²	Nature of Precious metal ³	Fine mass ⁴ Composition in (g or kg) ⁵					Price ⁶ (R)
			Au	Pt	Pd	Rh	Ir					Au	Pt	Pd	Rh	Ir	
Totals for Quarter by metal:																	
			Number of employees ⁸ (during the Quarter):														

* A true copy of this register for each quarter (Q) of a calendar year must be submitted to the Regulator by 15 April (for Q1), by 15 July (for Q2), by 15 October (for Q3), and by 15 January (for Q4)

- Record date of unwrought metal transactions and record month of semi-fabricated metal transactions
- Name, address and license, permit, certificate or registration number of the seller /buyer. If metal is exported/imported please state so
- Form and gross mass in grams or kilograms of precious metal bought/received (e.g. 2g of 18 ct white gold wire, 3g of 9ct gold bar, 6g of scrap etc.)
- Fine mass/weight: the mass of the precious metal contained in the article/product, as determined by multiplying the gross weight of the article/product by its carat divided by 24
fineness divided by a thousand. e.g. for an 18 ct gold alloy weighing 1 gram, the fine mass of gold in the alloy is calculated like this: $1g \times \frac{18}{24} = 0.75g$
- Delete whichever units that are not applicable (i.e. units not used)
- Price is the amount of money (if any) received during the transaction. Price is only recorded for unwrought precious metal transactions
- List the type & fine mass of article manufactured
- Average number of employees employed over the quarter

(Form PMR 5 substituted by Government Notice R737 in Government Gazette 38014 dated 22 September 2014, with effect from 1 October 2014)

SOUTH AFRICAN DIAMOND AND PRECIOUS METALS REGULATOR

251 Fox Street; Johannesburg, 2001, Entrance: Corner Main Street & Greene Street, Jewel City, Johannesburg

P. O. Box 16001, Doornfontein 2028

Tel (011) 223 7000; Fax (011) 334-8833; Email: PM_Registers@sadpmr.co.za ; Guidelines can be found at www.sadpmr.co.za

Form PMR 6

PRECIOUS METAL CERTIFICATE HOLDER

Register of transactions pursuant to Regulations 17(c) and 33 made under the Precious Metals Act, 2005

Quarter/Year :		
Name on Certificate:		
Certificate Number:		
Physical address:		
Telephone number/s:		
Fax number:		I, the undersigned certificate holder, solemnly declare that the transactions in this register are correct and complete
E-mail address:		Signed:
Client code:		Date of declaration:

[illegible]

* A true copy of this register for each quarter (Q) of a calendar year must be submitted to the Regulator by 15 April (for Q1), by 15 July (for Q2), by 15 October (for Q3), and by 15 January (for Q4)

1. Record date of unwrought metal transactions and record month of semi-fabricated metal transactions
2. Name, address and license, permit, certificate or registration number of the seller /buyer. If metal is exported/imported please state so
3. Form and gross mass in grams or kilograms of precious metal bought/received (e.g. 2g of 18 ct white gold wire, 3g of 9ct gold bar, 6g of scrap etc.)
4. Fine mass/weight: the mass of the precious metal contained in the article/product, as determined by multiplying the gross weight of the article/product by its carat divided by 24 fineness divided by a thousand. e.g. for an 18 ct gold alloy weighing 1gram , the fine mass of gold in the alloy is calculated like this: $1g \times \frac{18}{24} = 0.75g$
5. Delete whichever units that are not applicable (i.e. units not used)
6. Price is the amount of money (if any) received during the transaction. Price is only recorded for unwrought precious metal transactions

(Form PMR 6 substituted by Government Notice R737 in Government Gazette 38014 dated 22 September 2014, with effect from 1 October 2014)

SOUTH AFRICAN DIAMOND AND PRECIOUS METALS REGULATOR

251 Fox Street; Johannesburg, 2001, Entrance: Corner Main Street & Greene Street, Jewel City, Johannesburg
P. O. Box 16001, Doornfontein 2028

Tel (011) 223 7000; Fax (011) 334-8833; Email: PM_Registers@sadpmr.co.za ; Guidelines can be found at www.sadpmr.co.za

Form PMR 7

IMPORT PERMIT HOLDER

Quarter/Year :		I, the undersigned permit holder, solemnly declare that the import transactions recorded herein are correct and complete,	
Name of Permit Holder:			
Permit Number:			
Physical address:			
Telephone number/s:			
Fax number:			
E-mail address:			
Client Code:		Signed:	
		Date of declaration:	

Declaration of import transactions in terms of Regulation 22(2) and 33, made under the Precious Metals Act, 2005

[illegible]

* A true copy of this declaration of import transactions for each quarter (Q) of a calendar year must be submitted to the Regulator by 15 April (for Q1), by 15 July (for Q2), by 15 October (for Q3), and by 15 January (for Q4)

1. Precious Metal Imported (e.g. gold, platinum, palladium, rhodium, iridium, osmium, or ruthenium)
2. Form and Purity (e.g., unwrought bars of 99.5% purity)
3. Country imported from
4. Fine mass/weight: the mass of the precious metal contained in the article/product, as determined by multiplying the gross weight of the article/product by its fineness or purity (in %)

(Form PMR 7 substituted by Government Notice R737 in Government Gazette 38014 dated 22 September 2014, with effect from 1 October 2014)

Prepared by:

SOUTH AFRICAN DIAMOND AND PRECIOUS METALS REGULATOR

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Form PMR 8

EXPORT APPROVAL HOLDER

Half-year period/Year:		I, the undersigned export approval holder, solemnly declare that the export transactions recorded herein are accurate and complete	
Name of Approval Holder:			
AP Number:			
Physical address:			
Telephone number/s:			
Fax number:			
E-mail address:			
Client Code:		Signed:	
		Date of declaration:	

Declaration of monthly export transactions in terms of Regulation 24(3) and 33 made under the Precious Metals Act, 2005

[illegible]

* A true copy of this declaration of export transactions for each 6-month (half-year) period (H) of a calendar year must be submitted to the Regulator by 15 July (for H1) and by 15 January (for H2)

1. Metal (gold, platinum, palladium, rhodium, iridium, osmium, or ruthenium)
2. Form and Purity (e.g., bars of 99.5% purity)
3. Source of precious metal
4. Fine mass/weight: the mass of the precious metal contained in the article/product, as determined by multiplying the gross weight of the article/product by its fineness or purity (in %)

(Form PMR 8 substituted by Government Notice R737 in Government Gazette 38014 dated 22 September 2014, with effect from 1 October 2014)

Prepared by: